Request for Empanelment of CERT-In empaneled Auditors for RE Sector

RfE No. SECI/C&P/EOI/17/0004/26-27 dated 28.08.2026

Tender Search Code on ISN-ETS: SECI-2026-TN000025

DISCLAIMER

I. Though adequate care has been taken while preparing the RfE document, the bidder(s) shall satisfy themselves that the document is complete in all respect. Intimation regarding any discrepancy shall be given by the prospective bidders to the office of SECI immediately. If no intimation is received from any bidder within **20 (Twenty) days from the date of issuance of RfE documents,** it shall be considered that the document is complete in all respect and has been received/ acknowledged by the bidder(s).

II. Solar Energy Corporation of India Ltd (SECI) reserves the right to modify, amend or supplement this document.

III. This RfE document has been prepared in good faith, and on best endeavour basis. Neither SECI nor their employees or advisors make any representation or warranty, express or implied, or accept any responsibility or liability, whatsoever, in respect of any statements or omissions herein, or the accuracy, completeness or reliability of information, and shall incur no liability under any law, statute, rules or regulations as to the accuracy, reliability or completeness of this document, even if any loss or damage is caused by any act or omission on their part.

IV. In case of any discrepancy in the documents uploaded on the websites of SECI, ISN-ETS and CPPP, the documents uploaded on the ISN-ETS website will prevail.

Place: New Delhi Date: 28.08.2026

BID INFORMATION SHEET

The brief details of the RfE are as under:

<table>
<tr><td>(A)</td><td>NAME OF WORK/ BRIEF SCOPE OF WORK/ JOB</td><td>Empanelment of experienced CERT-In empaneled Auditors for RE Sector</td></tr>
<tr><td>(B)</td><td>RfE NO. & DATE</td><td>SECI/C&P/EOI/17/0004/26-27 dated 28.08.2026</td></tr>
<tr><td>(C)</td><td>TYPE OF BIDDING SYSTEM</td><td><table><tr><td>SINGLE STAGE SINGLE ENVELOPE</td><td>Yes</td></tr><tr><td>SINGLE STAGE DOUBLE ENVELOPE</td><td></td></tr></table></td></tr>
<tr><td>(D)</td><td>TYPE OF RfE/ TENDER</td><td><table><tr><td>E-TENDER</td><td>Yes</td></tr><tr><td>MANUAL</td><td></td></tr></table></td></tr>
<tr><td>(E)</td><td>CONTRACT PERIOD</td><td>As mentioned in RfE Document</td></tr>
<tr><td>(F)</td><td>BID PROCESSING FEE</td><td><table><tr><td>APPLICABLE</td><td>Yes</td></tr><tr><td>NOT APPLICABLE</td><td></td></tr></table>INR 5,000 (Indian Rupees Five Thousand Only) + applicable GST, to be submitted either through NEFT/RTGS transfer in the account of SECI, or in the form of DD/Pay order along with the response to RfE in favour of “Solar Energy Corporation of India Limited”, payable at New Delhi.</td></tr>
<tr><td>(G)</td><td>EARNEST MONEY DEPOSIT (EMD)</td><td>NOT APPLICABLE</td></tr>
<tr><td>(H)</td><td>PERFORMANCE BANK GUARANTEE</td><td>NOT APPLICABLE</td></tr>
<tr><td>(I)</td><td>DATE, TIME & VENUE OF PRE-BID MEETING</td><td>Scheduled as per NIT on ISN-ETS portal and/or SECI website.</td></tr>
</table>

(J)	**OFFLINE & ONLINE BID-SUBMISSION DEADLINE**	As per NIT on ISN-ETS portal
(K)	**BID OPENING**	As per NIT on ISN-ETS portal
(L)	**CONTACT DETAILS OF ISN-ETS PORTAL**	M/s **Electronic Tender.com (India) Pvt. Ltd.** Gurugram Contact Person: ISN-ETS Support Team Customer Support: +91-124-4229071,4229072 (From 10:00 Hrs to 18:00 Hrs on all working Days i.e. Monday to Friday except Govt. Holidays) Email: support@isn-ets.com
(M)	**NAME, DESIGNATION, ADDRESS AND OTHER DETAILS (FOR SUBMISSION OF RESPONSE TO RfE)**	**Sh. Atulya Kumar Naik** Executive Director (Contracts & Procurement) Solar Energy Corporation of India Limited 6th Floor, Plate-B, NBCC Office Block Tower-2, East Kidwai Nagar, New Delhi - 110 023
(N)	**DETAILS OF PERSONS TO BE CONTACTED IN CASE OF ANY ASSISTANCE REQUIRED**	**For Contractual Queries** 1) Sh. Pratik Prasun DGM (C&P) Contact No.: 011-24666237 pratikpr@seci.co.in 2) Sh. Abhisekh Srivastav Manager (C&P) Contact No.: 011-24666260 abhisekhsri@seci.co.in **For Technical Queries** 1) Sh. Rajesh Kumar Choudhary AGM (IT) Contact No.: 011-24666212 rajesh.k.choudhary@seci.co.in 2) Sh. Abhishek Dy. Manager (IT) Contact No.: 011-24666315 abhishek.singh25@seci.co.in

- Bids must be submitted strictly in accordance with Section-2 and 3 of the RfE, depending upon Type of Tender as mentioned at Clause no. (D) of Bid Information Sheet.
- Bidders are required to quote strictly as per terms and conditions of the RfE documents and not to stipulate any deviations/ exceptions.

- Any bidder, who meets the Qualifying Requirement and wishes to quote against this RfE, may download the complete RfE document along with its amendment(s) and clarifications if any, from ISN-ETS Portal **(*https://www.bharat-electronictender.com*)** and/or SECI website **(*www.seci.co.in*)** and submit their Bid complete in all respect as per terms & conditions of RfE Document on or before the due date of bid submission.
- Clarification(s)/ Corrigendum(s), if any, shall also be available on the above referred websites.

Bidders are requested to remain updated for any notices/ amendments/ clarifications etc. to the RfE document through the websites *https://www.bharat-electronictender.com* and *www.seci.co.in*. No separate notifications will be issued for such notices/ amendments/ clarifications etc. in the print media or individually. Intimation regarding notification on the above shall be updated on *www.seci.co.in* and the details will be available only from *https://www.bharat-electronictender.com.*

SECTION 1. INTRODUCTION & INVITATION FOR BIDS

1 Background & Introduction

1.1 Solar Energy Corporation of India Limited (hereinafter called "SECI") is a "Navratna" Government of India Enterprise under the administrative control of the Ministry of New & Renewable Energy (MNRE). One of the main objectives of the Company is to assist the Ministry and function as the implementing and facilitating arm of the National Solar Mission (NSM) for development, promotion and commercialization of solar energy technologies in the country.

1.2 In addition to the above, Solar Energy Corporation of India Limited (SECI) has been formally designated as the Sectoral Computer Emergency Response Team for the Renewable Energy sector (CERT-RE) by the Ministry of New and Renewable Energy (MNRE) vide its letter dated 04 August 2025, under the CSIRT-Power framework of the Ministry of Power and is thereby the nodal agency entrusted with the establishment and sustenance of cybersecurity governance across all RE entities in India. Given that the CEA Cyber Security Regulations 2024 mandate periodic cybersecurity audits for RE power plants, it is essential for SECI to meaningfully discharge its CERT-RE mandate, which encompasses sector-wide cyber posture monitoring, issuance of advisories, and maintenance of the Cybersecurity Compliance Registry that structured, independent, and reliable audit data flows from RE plants to SECI in a systematic manner. SECI, in its capacity as CERT-RE, shall implement the necessary cybersecurity compliance with appropriate quality in the Renewable Energy (RE) sector by ensuring that the entities get the Cyber Security audits through CERT-In empaneled auditors specializing in the Power and RE sectors audit.

1.3 CSIRT-Power, established under CEA, Ministry of Power, Government of India, serves as the nodal agency for cybersecurity incident response and coordination across all segments of the Indian power sector encompassing generation, transmission, distribution, and load dispatch. CSIRT-Power is mandated to monitor, detect, and respond to cybersecurity threats and incidents affecting power sector entities; issue advisories and guidelines on emerging threats and vulnerabilities; coordinate with CERT-In, NCIIPC, and other national cybersecurity bodies; and facilitate capacity building and awareness among power sector organizations. In furtherance of these functions, CSIRT-Power has issued Guidelines on Quality and Cost Based Selection (QCBS) of Cybersecurity Auditors for the Power Sector, dated 11.03.2025, providing a structured framework for power utilities to select technically competent and sector-experienced cybersecurity auditors.

1.4 In line with the above, SECI intends to empanel the experienced CERT-In empanelled Auditors for RE Sector.

1.5 The empanelment shall be for an initial period of two (2) years. The empanelment may be extended by one (1) year at a time, for up to two years, subject to satisfactory performance assessment and continued validity of the empanelled firm's CERT-In

empanelment.

2 *Invitation for Bids*

2.1 A Single Stage, Single-Envelope Bidding Procedure will be adopted and will proceed as detailed in the RfE Documents. Bidding will be conducted through the competitive bidding procedures as per the provisions of this RfE. The respective rights of SECI and the Bidder/Auditors shall be governed by the RfE Document.

2.2 This RfE document shall be part of the Stage-I bidding process. The Stage-II bidding process i.e. Request for Quotation will be carried out subsequently at SECI's discretion.

2.3 Interested bidders have to necessarily register themselves on the portal https://www.bharat-electronictender.com ("ETS portal") through M/s Electronic Tender.com (India) Pvt. Limited to participate in the bidding under this invitation for bids. It shall be the sole responsibility of the interested bidders to get themselves registered at the aforesaid portal for which they are required to contact M/s Electronic Tender.com (India) Pvt. Limited, New Delhi to complete the registration formalities. Contact details of ISN-ETS are mentioned on the Bid Information Sheet. All required documents and formalities for registering on ISN-ETS are mentioned in the subsequent RfE documents.

2.4 They may obtain further information regarding this RfE from the registered office of SECI at the address given on the Bid Information Sheet from 10:00 hours to 17:00 hours on all working days.

For proper uploading of the bids on the ETS portal, it shall be the sole responsibility of the bidders to apprise themselves adequately regarding all the relevant procedures and provisions as detailed in the portal as well as by contacting M/s Electronic Tender.com (India) Pvt. Limited (ETI) directly, as and when required, for which contact details are also mentioned on the Bid Information Sheet. SECI in no case shall be responsible for any issues related to timely or properly uploading/ submission of the bid in accordance with the relevant provisions of the Bidding Documents.

2.5 Bidders shall submit their bid proposal complete in all aspect on or before last date and time of Bid Submission as mentioned on ISN-ETS Portal (https://www.bharat-electronictender.com), SECI website http://www.seci.co.in and as indicated in the Bid Information Sheet.

2.6 Bidder shall submit bid proposal along with non-refundable Bid Processing Fees complete in all respects as per the Bid Information Sheet. Bid proposals received without the prescribed Bid Processing Fees will be rejected. **In the event of any date indicated being declared a holiday, the next working day shall become operative for the respective purpose mentioned herein.**

2.7 RfE documents can be downloaded from the ISN-ETS Portal or from SECI's website. It is mandatory to download official copy of the RfE Document from Electronic Tender System (ISN-ETS) Portal to participate in the RfE. Any amendment(s)/ corrigendum(s)/

clarification(s) with respect to this RfE shall be uploaded on ISN-ETS website. The Bidder should regularly check for any Amendment(s)/ Corrigendum(s)/ Clarification(s) on the above mentioned ISN-ETS website. The same may also be uploaded on SECI website also. However, in case of any discrepancy, the information available on ISN-ETS website shall prevail.

2.8 SECI reserves the right to cancel/ withdraw/ defer this invitation for bids without assigning any reason and shall bear no liability whatsoever consequent upon such a decision.

2.9 **INTERPRETATIONS**

- Words comprising the singular shall include the plural & vice versa.
- An applicable law shall be construed as reference to such applicable law including its amendments or re-enactments from time to time.
- A time of day shall save as otherwise provided in any agreement or document be construed as a reference to Indian Standard Time.
- Different parts of this contract are to be taken as mutually explanatory and supplementary to each other and if there is any differentiation between or among the parts of this contract, they shall be interpreted in a harmonious manner so as to give effect to each part.
- The table of contents and any headings or subheadings in the contract has been inserted for case of reference only & shall not affect the interpretation of this agreement.

SECTION 2. SPECIAL CONDITIONS OF CONTRACT

3 Scope of Work

3.1 SECI intends to establish a panel of experienced, CERT-In empaneled Cybersecurity Auditing organizations. These empaneled Auditors will be responsible for evaluating, verifying, and enhancing the cybersecurity posture of renewable energy power plants across India, focusing on both Information Technology (IT) and Operational Technology (OT) ecosystems.

3.2 Every empaneled Auditor must possess the end-to-end technical competency required to perform a full-stack cybersecurity audit for a power plant.

3.3 The empanelled cybersecurity auditors will be deployed under two core operational scenarios:

i. First Time Charge (FTC) Audit

- **Purpose:** Performed prior to the initialization and grid-synchronization of the renewable energy plant to ensure that baseline security controls are fully established.
- **Execution:** Initiated upon receipt of the Project Execution Form (PEF).

ii. Periodic Mandatory Cybersecurity Audit

- **Frequency:** Must be conducted once in every financial year.
- **Lifecycle Limits:** To maintain independence and mitigate conflicts of interest, no more than three (3) consecutive audits of a single plant facility shall be performed by the same Auditors.
- **Initial Plant Deadline:** The first periodic audit must be successfully closed within one year from the exact date of plant commissioning.
- **Execution:** Initiated upon receipt of the Project Execution Form (PEF).

3.4 The audit methodology and deliverables shall conform strictly to the **NIST Cybersecurity Framework (CSF)**, **IEC 62443**, **ISO/IEC 27001**, and all applicable guidelines, advisories, and regulations issued by the Government of India, Ministry of New and Renewable Energy (MNRE), Ministry of Power (MoP), CEA, and CERT-In from time to time.

3.5 Auditors must review, physically verify, and sign off on documentation and deployment architectures across the Audit Parameters mentioned in **Annexure-B**.

3.6 **Exclusions from Scope**

i. The audit under this RfE is an assessment of the configuration, architecture, controls, processes and documented security posture of the Plant's IT and OT environment. It is not a hardware assurance or product-certification exercise.

ii. Without limiting the generality of the above, the following are expressly outside the scope of the audit:

a. Detection, verification or certification of the absence of hardware trojans, malicious embedded logic, covert implants or undocumented components within the silicon, circuit boards, firmware images or supply chain of any equipment deployed at the plant;

b. Detection or certification of the absence of manufacturer-introduced or supply-chain-introduced backdoors, undeclared communication interfaces, or covert channels in OEM equipment;

c. Destructive or invasive examination of equipment, including disassembly, de-soldering, chip decapsulation, firmware extraction from silicon, or any physical modification of plant assets;

d. Testing of imported equipment for embedded malware, trojans or cyber threats under Ministry of Power Order No. 25-11/6/2018-PG dated 02.07.2020, or any equivalent product-level cyber testing. Such testing is the responsibility of the Plant Developer and shall be carried out only at laboratories designated by the Ministry of Power for the purpose. Trusted-source compliance and product cyber-testing certification under the CEA (Cyber Security in Power Sector) Guidelines, 2021 and the Central Electricity Authority (Cyber Security in Power Sector) Regulations, 2024 remain obligations of the Plant Developer and are not discharged by any audit or closure certificate issued under this RfE.

iii. The Auditor shall not represent, in the audit report, the closure certificate, or otherwise, that any equipment has been assessed for or found free of the matters listed at Clause 3.6.ii.

4 Mandatory Execution Milestones & Deliverables

The Auditor must execute the Assignment as defined below:

Milestone	Assignment Phase	Key Activities & Verification Parameters	Deliverables / Outcomes
M1: First Findings Report (Non-Conformity Report)	**Phase A:** Mobilization & Planning	• Initiate kick-off and validate the audit scope checklist. • Request initial governance documents (Cyber Security Policy, C-CMP, ISO 27001 certificates, CISO appointment details). • Schedule the physical on-site inspection visit.	Approved kick-off report and finalized audit plan.
	Phase B: Asset Discovery	• Map all IT and OT plant assets, compiling	Comprehensive asset inventory and

		hardware/software inventories and OEM details. • Verify zone diagrams, asset-to-zone mapping tables, and the physical/logical isolation between IT and OT systems.	baseline network architecture map.
	Phase C: Full-Stack Cybersecurity Audit	• Conduct physical site inspections for server/control room access and operations security. • Review firewall configurations, default-denied rules, and advanced security technologies (e.g., DPI, WAF, EDR). • Execute Vulnerability Assessment and Penetration Testing (VAPT) across IT systems and test IT-to-OT lateral movement paths. • Strict Constraint: Ensure no unauthorized active OT scanning or intrusive testing occurs on live SCADA networks without written approval from the Plant Head and CISO.	Completion of all physical and technical assessments.
	Phase D: Analysis & Report Preparation	• Consolidate all physical verification, documentation review, and VAPT results. • Document identified security flaws, non-compliances, and risk ratings.	Submission of the Initial Vulnerability & Non-Compliance Report (First Findings Report).
Milestone 2: Final Audit Report & Management Approval	**Phase E:** ATR Review & Re-Audit Preparation	• Receive and evaluate the Action Taken Report (ATR) submitted by the Plant Developer in response to Phase D findings. • Formally review the remediation measures implemented against the initial non-conformities.	Approved ATR review plan and re-scan schedule.
	Phase F: Re-	• Conduct targeted	Re-testing results

	Audit/ Re-Scan	differential scanning and re-testing to verify the successful closure of previously identified vulnerabilities. • Confirm patches have been applied and configurations corrected.	and vulnerability closure tracking logs.
	Phase G: Consolidated Draft Report Preparation	• Compile the comprehensive draft report combining initial findings, remediation actions, and final re-scan results. • Complete regulatory compliance mapping (e.g., CEA regulations, CERT-In directives, IT Act).	Consolidated Draft Audit Report.
	Phase H: SECI Quality Review	• Submit the draft report to SECI for quality and compliance checks.	Clear draft report, ready for client presentation.
	Phase I: Plant Developer Management Review	• Conduct an executive management briefing and review session with the plant's CISO and Top Management. • Discuss residual risks, if any, and obtain alignment on the final findings.	Management consensus and sign-off on the draft report.
	Phase J: Final Submission and Closure	• Issue the finalized Cybersecurity Audit Report and Vulnerability Closure Report. • Provide formal compliance certificates and ensure final acceptance sign-off by SECI and the Auditee.	Final Cybersecurity Audit Report, Compliance Certificates, and Assignment Closure.

5 *Geographic Zones*

5.1 The Assignments will be executed across five distinct geographic zones:

i. **Zone 1 (Northern):** Jammu and Kashmir, Himachal Pradesh, Punjab, Haryana, Uttarakhand, Delhi, Rajasthan, Chandigarh, Ladakh

ii. **Zone 2 (Western):** Gujarat, Maharashtra, Goa, Dadra and Nagar Haveli and Daman and Diu

iii. **Zone 3 (Southern):** Andhra Pradesh, Telangana, Karnataka, Tamil Nadu, Kerala, Puducherry, Andaman and Nicobar Islands, Lakshadweep

iv. **Zone 4 (Eastern):** Bihar, Jharkhand, Odisha, West Bengal, Sikkim, Northeastern States

v. **Zone 5 (Central):** Madhya Pradesh, Uttar Pradesh, Chhattisgarh.

5.2 The "zone" here refers to the location of the Project assets being audited.

5.3 Applicants/Bidders may apply for empanelment in one or more Zones of their choice, with no restriction on the number of Zones selected.

6 Assignment of Audits (Stage-II of the bidding process)

Subsequent to the empanelment of the Auditors under this RfE, SECI shall carry out the following steps for assignment of Audits under the Stage-II of the bidding process.

6.1 Initiation and Requisition

A. Audit Trigger and Notification System

- **Compliance Registry Tracking:** SECI shall maintain a Cybersecurity Compliance Registry that will automatically track the audit completion dates and applicable audit cycles for each plant.
- **Advance Notification:** To ensure no plant misses its mandatory audit window under CEA regulations, SECI will send an email notification to the Plant Developer three (3) months prior to the due date of each annual audit.
- **Notification Details:** This advance notification will confirm the plant's applicable audit frequency and cycle, the current queue status for that specific Zone, the procedure for submitting the Project Execution Form (PEF) as per Annexure-D, and the expected timeline for Auditor Assignment.
- **First Time Charge (FTC) Audits:** The developer will proactively initiate the process at least one month before the scheduled FTC.

B. Requisition Submission (Project Execution Form)

- The Plant Developer submits the complete **Project Execution Form (PEF)** to SECI as per Annexure-D.
- The PEF shall accurately detail the plant's installed capacity (MW), technology mix, geographic zone, and an estimated count of IT/OT Assets to allow auditors to size the effort accurately.

6.2 Zonal Request for Quotation (RFQ) and L1 Selection

A. Issuance of Limited RFQ

- As and when a PEF is received, SECI shall issue a specific Request for Quotation

(RFQ) based on the plant parameters.

- This RFQ will be circulated exclusively to the empanelled auditors in the specific Geographic Zone where the plant is located.
- Auditors will be given a strict, short-turnaround window to submit their lump-sum financial quotes for the Assignment.

B. Bid Opening and L1 Discovery

- Upon the closing of the window for submission of quotation, SECI shall evaluate the submitted financial bids.
- The Assignment will be awarded to the auditor who submits the lowest financial bid (L1 Bidder).
- In case of multiple Bidders quoting the L1 Price, then the ranking among these Bidders shall be done as follows:

  a. The Bidder who has secured highest marks in the technical/QBS marking during the empanelment, shall be considered as L-1.

  b. If there is also a tie among any of these Bidders, then draw of lots will be conducted.

6.3 **Advance Payment and Work Order Issuance**

A. Fee Intimation and Advance Payment.

- SECI shall intimate the Plant Developer of the Audit fee based on the discovered L1 price.
- The Plant Developer submits the requisite Advance Payment directly to SECI.

B. Work Order Issuance

- Upon successful realization of the developer's advance payment, SECI shall issue the formal **Work Order** to the L1 Auditor.
- The Work Order locks in the L1 fee, which remains strictly binding for the entire duration of the Assignment.

7 Audit Fees and Payment terms

7.1 The audit fee for this empanelment framework shall be discovered through a competitive bidding process among all participating auditors for each respective Geographic Zone as defined in clause 5 above.

7.2 All financial flows and disbursements shall be strictly routed through SECI.

7.3 The Plant Developer is required to submit an Advance Payment along with the Project Execution Form (PEF) as per Annexure-D to SECI before any audit assignment can be

officially initiated or a Work Order issued to the Auditor.

7.4 SECI shall disburse payments directly to the empanelled auditor in milestones, verifying completion at each stage.

7.5 **Milestone-Based Payment Schedule**

The total audit fee for any given Assignment shall be disbursed to the empanelled Auditor in two (2) equal instalments of 50 percent (50%) each, tentatively mapped to the successful completion and acceptance of the following milestones:

Milestone	Stage	Deliverables	Payment percentage
M1	Full Stack Audit & First Findings Report	Execution of full-stack testing (including on-site parameters and VAPT) and submission of the initial comprehensive findings report	50 %
M2	Final Report Acceptance & Closure	Final compliance report acceptance, closure certificate generation, and formal sign-off by SECI.	50 %

8 Performance Governance and Default Framework

To ensure quality control and adherence to critical statutory audit timelines, empanelled agencies are bound by a rolling twelve-month performance monitoring cycle.

8.1 **Grounds for Default**

An agency will be flagged for default under the following circumstances:

i. Delaying any scheduled Assignment milestone by more than seven (7) working days without obtaining prior, formal written approval from SECI.

ii. Having a draft audit report returned for major revisions a second time within the same Assignment.

iii. Executing unauthorized active OT scanning or intrusive testing on live SCADA networks without written authorization from the Plant Operator's CISO and Plant Head.

iv. Declining SECI's Assignment allocations for the fourth time within a rolling twelve-month period.

v. Breaching data confidentiality protocols or failing to declare a conflict-of-interest post-assignment award.

vi. Failing to maintain a valid CERT-In empanelment or neglecting to pay the required Annual Empanelment Fee per zone.

vii. Submitting forged, simulated, or fabricated audit evidence.

8.2 **Three-Warning Escalation Matrix**

i. **First Default:** Formal written warning recorded in SECI's compliance tracking records; the auditor's status remains active in the respective zonal assignment queue.

ii. **Second Default:** Issuance of a second formal written warning, immediate placement on the active Watch List, and potential reassignment of any ongoing audit Assignments.

iii. **Third Default:** Immediate de-empanelment, permanent disqualification from participating in future SECI empanelment tenders, forfeiture of the Annual Empanelment Fee, immediate termination/reassignment of all active Assignments, and inclusion in SECI's negative empanelment register.

8.3 **SECI's Discretion in Exigency and Untoward Incidents**

i. Overriding Discretion: Notwithstanding anything contained in Clause 6.2 or elsewhere in this RfE, SECI, in its capacity as CERT-RE, reserves the absolute discretion to engage any empanelled Auditor, whether empanelled in the Geographic Zone in which the plant is situated or in any other Geographic Zone, for any assignment, without recourse to the zonal Request for Quotation process, in the circumstances set out at Clause 8.3.ii below.

ii. Circumstances: The discretion under Clause 8.3.i. may be exercised where, in SECI's assessment:

a) a cyber security incident, suspected incident, breach, intrusion, ransomware event, data compromise or attempted compromise has occurred or is apprehended at any RE plant or across the RE sector, requiring urgent assessment, containment support or forensic examination;

b) a direction, advisory or requisition has been received from CERT-In, CSIRT-Power, NCIIPC, CEA, MNRE, the Ministry of Power, GRID-India or any other competent authority requiring audit, verification or assessment within a stipulated timeline;

c) no quotation, or an inadequate number of quotations, is received in response to a Request for Quotation issued in the relevant Zone, or the quotations received are considered by SECI to be unreasonable;

d) the Auditor to whom a Work Order has been issued is de-empanelled, placed on the Watch List, becomes ineligible, is in default, or is otherwise unable or unwilling to complete the Assignment;

e) applying the ordinary process under Clause 6 would result in the plant failing to meet a statutory audit timeline under the Central Electricity Authority (Cyber Security in Power Sector) Regulations, 2024;

f) the rotation restriction under Clause 3.3 (no more than three consecutive audits by the same Auditor) or a declared conflict of interest exhausts the pool of eligible Auditors in the relevant Zone;

g) the assignment requires specialised technology, protocol or OEM-specific competency not available among the Auditors empanelled in the relevant Zone; or

h) any other exigency, force majeure event, natural calamity, sabotage, grid disturbance or untoward incident which in SECI's opinion warrants departure from the ordinary process.

iii. **Obligation to Accept:** An Auditor engaged under Clause 8.3.i shall accept the Assignment and mobilise within the period specified. Refusal or non-mobilisation otherwise than as permitted shall constitute a default under Clause 8.1 and shall additionally render the Auditor liable to exclusion from assignments for such period as SECI may determine. An Assignment declined with SECI's acceptance under this Clause shall not be counted as a declined allocation for the purposes of Clause 8.1.

iv. **Confidentiality of Incident Engagements:** Engagements under Clause 8.3.i shall be treated as confidential. The Auditor shall not disclose the fact, scope, findings or existence of such engagement to any person other than SECI.

v. **No Right Accrues:** Nothing in this Clause confers on any Auditor any right, entitlement or expectation to be engaged, or to be considered for engagement, under this Clause. Empanelment does not guarantee any assignment. SECI's decision as to whether an exigency exists, which Auditor to engage, and on what terms, shall be final and binding on all empanelled Auditors and shall not be open to challenge.

vi. **Consolidated Portfolio Audit**

Eligibility: A Renewable Power Developer which, either itself or together with its Parent, Ultimate Parent, Affiliates or Group Companies, owns or operates three (3) or more renewable energy plants requiring audit under this framework may opt to submit a Consolidated Project Execution Form in respect of all or any of such plants, in place of separate Project Execution Forms for each plant. The option, once exercised for a given audit cycle, shall apply to all plants included in the Consolidated PEF for that cycle.

Consolidated PEF: The Consolidated PEF as per Annexure-D shall comprise a covering schedule listing every plant included, together with Annexure-I and Annexure-II duly filled for each individual plant. The Consolidated PEF shall be signed by the authorised signatory of the parent or holding entity and countersigned by the Chief Information Security Officer.

SECTION 3. STANDARD CONDITIONS OF CONTRACT

9 *Obtaining RfE Documents*

Interested bidders shall have to download the official copy of RfE & other documents after login into the ISN-ETS portal by using the Login ID & Password provided by ISN-ETS during registration (Refer Annexure-A). The bidder shall be eligible to submit/ upload the bid documents only after logging into the ISN-ETS portal and downloading the official copy of RfE.

10 *Bid Processing Fees*

Prospective Bidders are required to submit their Proposals in response to this RfE document along with a non-refundable Bid Processing Fee as mentioned in the Bid Information Sheet. A Bidder will be eligible to participate in the bidding process only on submission of entire financial amounts as per the Bid Information Sheet. Payments against Bid Processing Fee shall be done only through NEFT/RTGS (electronic transfer), and the Bidder shall submit the transaction receipt, as part of the online bid submission.

The bank details of SECI are available at SECI's website, www.seci.co.in, under the "Business Partners→Payment Modes" tab. Upon making the necessary payments, the prospective Bidders shall immediately write to SECI (mailing to *finance@seci.co.in*), providing the payment details along with name and registered address of the Bidder (with GSTIN of the paying entity), to enable seamless issuance of payment invoices for taxation purpose. SECI will not be liable for any delay in issuing necessary invoices in this regard.

In case a Bidder chooses to deduct TDS while making the payments against Bid Processing Fee, such TDS details shall be submitted by the Bidder along with the transaction details, as part of online bid submission.

Bids submitted without Bid Processing Fee (including partial submission of any one of the respective amounts), may be liable for rejection by SECI.

MSEs (Micro and Small Enterprises) having valid UDYAM registration as on the last date of bid submission only are exempted from submission of Bid Processing Fee.

11 *Empanelment Fees*

11.1 Each empanelled Auditor shall pay a non-refundable Empanelment Fee of INR 20,000 (Indian Rupees Twenty Thousand only) plus 18% GST per Zone for an empanelment period of 2 years to SECI.

11.2 **Multi-Zone Empanelment**: An auditor empanelled in multiple Geographic Zones shall pay the Empanelment Fee separately for each specific Zone in which they are empanelled.

11.3 The Empanelment Fees will be payable in full within 15 days of issuance of NoE. In case

of non-submission of the above-mentioned fees, the NoE issued shall be cancelled and the bidder shall be removed from the list of empanelled Auditors along with debarment from participation from any of the future tenders issued by SECI.

11.4 Subsequent to completion of initial empanelment period of 2 years, in case the empanelment period is extended by SECI, then the empanelled Auditor shall pay a non-refundable annual Empanelment Fee of INR 10,000/- (Indian Rupees Ten Thousand only) plus 18% GST per Zone per year to SECI.

12 Non-Disclosure Agreement (NDA)

12.1 A Non-Disclosure Agreement (NDA) shall be entered between SECI and Auditors empanelled under this RfE. A copy of standard NDA to be executed between empanelled Auditor and SECI is available on ISN-ETS Portal and SECI website. The NDA shall be signed within 30 (thirty) days from the date of issuance of Notification of Empanelment (NoE). All expenditure towards execution of NDA such as stamp duty etc. shall be borne by the Bidders/Auditors. In case of non-signing of the NDA with SECI within the above-mentioned timeline, the Auditor will not be allowed to participate in the Stage-II of the bidding process i.e., assignment of Work order.

12.2 The empanelled Auditor shall take all necessary measures to ensure the absolute confidentiality, integrity, and availability of all auditee data, network architectures, and sensitive information accessed, observed, or collected during the assignment.

12.3 Term and Survivability: The obligations of confidentiality shall be strictly binding during the active contract period and shall survive in perpetuity after the completion or termination of such contract thereof.

12.4 Breach of Confidentiality and Default Penalties: The Auditor is prohibited from concealing any conflict of interest or breaching data confidentiality protocols after the commencement of an assignment. Any confirmed breach of data confidentiality constitutes a critical performance default.

12.5 In case the Plant Developer also insist on signing an NDA prior to start of the work, then the Agency shall sign an NDA with the Plant Developer.

13 Team composition and CERT-In empanelment

13.1 **Mandatory CERT-In Empanelment**

The Auditors must be a CERT-In empanelled Cybersecurity Auditing organization. The Auditor is obligated to maintain their active CERT-In status throughout the engagement duration. Failure to notify SECI of any lapse or suspension of their CERT-In empanelment constitutes a critical performance default.

13.2 **Audit Team Composition and Strategy Multi-Disciplinary Requirement**

The auditor must deploy a well-rounded audit team consisting of both onsite and remote personnel to cover the multiple facets of a cybersecurity assessment, ensuring that both

technical depth and domain-specific knowledge are adequately represented.

Team composition, strength, and resource allocation must be appropriately scaled based on the complexity of the specific Plant's IT/OT environment, scale of operations, and specific risk factors.

The auditing organization must demonstrate technical accuracy and structured methodology by deploying full-time professional experts who hold valid, internationally recognized cybersecurity certifications.

Given the distinct environment of power sector infrastructure, the audit team must include technical experts with proven project experience in the Operational Technology (OT) domain. These specialists must possess hands-on expertise in conducting audits and security assessments on specialized plant-level OT systems, specifically including SCADA (Supervisory Control and Data Acquisition), DCS (Distributed Control Systems), and SAS (Substation Automation Systems).

14 Instructions to Bidders for Structuring of Bid Proposals in Response to RfE

The bidder shall submit single response to RfE. Detailed Instructions to be followed by the bidders for online submission of response to RfE are stated at Annexure-A. Submission of bid proposals by Bidders in response to RfE shall be in the manner described below:

i. Covering Letter as per **Format 7.1.**
ii. In case of Limited Liability Partnership, a Power of Attorney in favour of the Authorized Signatory shall be provided as per format attached hereto as **Format 7.2.**
iii. Board Resolution, as per **Format 7.3** duly certified by the Company Secretary or the Director of the relevant Bidder, as applicable to the Bidder in favour of the person signing the response to RfE and in the event of empanelment and to sign the NDA with SECI.
iv. Undertaking regarding no wilful default and no major litigation pending as per **Format 7.4.**
v. Format for Technical Requirement as per **Format 7.5.**
vi. Copy of valid CERT-In empanelment certificate as issued by CERT-In.
vii. Copy of ISO/IEC 27001 (Information Security Management System) certificate valid as on the last date of bid submission.
viii. Any other supporting documents as required as per Clause 23 and 24.
ix. **Attachments**

In case of Company	**In case of LLP**
Memorandum of Association	LLP / Partnership agreement
Article of Association	GST registration and PAN card
Certificate of Incorporation	Certificate of Incorporation under Limited Liability Partnership Act 2008/ Indian Partnership Act, 1932 or equivalent law/act of respective state

15 Important Notes and Instructions to Bidders

15.1 Wherever information has been sought in specified formats, the Bidders shall fill in the details as per the prescribed formats and shall refrain from any deviations and referring to any other document for providing any information required in the prescribed format.

15.2 The Bidders shall be shortlisted based on the declarations made by them in relevant schedules of RfE.

15.3 If the Bidder conceals any material information or makes a wrong statement or misrepresents facts or makes a misleading statement in its response to RfE, in any manner whatsoever, SECI reserves the right to reject such response to RfE and/or cancel the Letter of Empanelment, if issued, de-empanelment, permanent disqualification from participating in future SECI empanelment tenders, forfeiture of the Annual Empanelment Fee, immediate termination/reassignment of all active assignments, and inclusion in SECI's negative empanelment register. Bidder shall be solely responsible for disqualification based on their declaration in the submission of response to RfE.

15.4 Response submitted by the Bidder shall become the property of the SECI and SECI shall have no obligation to return the same to the Bidder.

15.5 All documents of the response to RfE (including RfE and subsequent Amendments/ Clarifications/ Addenda) submitted online must be digitally signed by the person authorized by PoA as per Format 7.2 or by the Board as per Format 7.3.

15.6 The response to RfE shall be submitted as mentioned in Clause 14 of the RfE. No change or supplemental information to a response to RfE will be accepted after the scheduled date and time of submission of response to RfE. However, SECI reserves the right to seek additional information from the Bidders, if found necessary, during the course of evaluation of the response to RfE.

15.7 All the information should be submitted in English language only. In case of foreign bidders having documents in other than English language, then the documents shall be translated in English language by certified translator and submitted.

15.8 Bidders shall mention the name of the contact person and complete address and contact details of the Bidder in the covering letter.

15.9 Response to RfE that are incomplete, which do not substantially meet the requirements prescribed in this RfE, will be liable for rejection by SECI.

15.10 Response to RfE not submitted in the specified formats will be liable for rejection by SECI.

15.11 Bidders delaying in submission of additional information or clarifications sought will be liable for rejection.

15.12 Non-submission and/ or submission of incomplete data/ information required under the provisions of RfE shall not be construed as waiver on the part of SECI of the obligation

of the Bidder to furnish the said data/ information unless the waiver is in writing.

15.13 Only New Delhi Courts shall have exclusive jurisdiction on all matters pertaining to this RfE.

15.14 All the financial transactions to be made with SECI empanelment fees, and any additional charges (if required), shall attract applicable taxes on each transaction, irrespective of the same being mentioned in the RfE.

16 Non-Responsive Bid

The electronic response to RfE submitted by the bidder along with the documents submitted **online** to SECI shall be scrutinized to establish "Responsiveness of the Bid". Each Bidder's response to RfE shall be checked for compliance with the submission requirements set forth in this RfE.

Any of the following conditions shall cause the Bid to be "Non-responsive":

(a) Non-submission of the requisite Cost of RfE and/ or Bid Processing Fee as mentioned in the Bid Information Sheet.
(b) Response to RfE not received by the due date and time of bid submission.

In any of the above cases, the bid shall not be considered for bid opening and evaluation process.

17 Method of Submission of Response to RfE by the Bidder

17.1 **Documents to be Submitted Offline**

The bidder has to submit original of following documents **offline.**

i. Copy of valid Cert-In empanelment Certificate.

ii. Proof of payment of Bid Processing Fee.

Copy of valid Cert-In empanelment certificate and proof of payment of Bid Processing Fees needs to be submitted in both online and offline modes.

The Bidder will be required to submit the Offline Bid, either in person or through post, at the office of SECI until the date as on 2 working days after the closing date of Online bid submission. The 2-day duration will be counted from the date of Online bid submission.

For e.g., if the bid submission deadline is 18:00 hrs on 25.09.2026, the above deadline will expire at 18:00 hrs on 27.09.2026. In case the above deadline being a holiday, the next working day in SECI will be the deadline for submission of Offline bid.

The bidding envelope shall contain the following sticker:

RfE of Experienced CERT-In empaneled Auditors for RE sector	
RfE Reference No.	SECI/C&P/EOI/17/0004/26-27 dated 28.08.2026
Submitted by	*(Enter Full name and address of the Bidder)*
Organization ID (OID) on ETS portal	*(Enter the OID through which the Bid has been submitted online on ETS portal)*
Authorized Signatory	*(Signature of the Authorized Signatory)* *(Name of the Authorized Signatory)* *(Stamp of the Bidder)*
Bid Submitted to	**Executive Director (C&P)** **Solar Energy Corporation of India Limited** **6th Floor, Plate-B, NBCC Office Block Tower-2, East Kidwai Nagar, New Delhi-110023** **Tel No. 011-24666200** **Email:** pratikpr@seci.co.in, abhisekhsri@seci.co.in

17.2 **Documents to be Submitted Online**

Detailed instructions to be followed by the Bidders for online submission of response to RfE as stated as Annexure-A. The Bidders shall strictly follow the instructions mentioned in the `electronic form in the Envelope while filling the form.

If the Bidder has submitted bid online and fails to submit the Bid Processing Fees for requisite amount until the bid submission deadline, then the same shall be treated as incomplete bid and the submitted bid will stand cancelled.

All documents of the response to RfE submitted online must be digitally signed and uploaded on the website, ***https://www.bharat-electronictender.com*** which should contain the following:

I. Technical Bid

The Bidder shall upload single technical bid containing **scanned copies** of the following documents duly signed and stamped on each page by the authorized signatory as mentioned below:

(a) Formats - 7.1, 7.2 (if applicable), 7.3 (if applicable), 7.4 and 7.5 as elaborated in Clause 14 of the RfE.
(b) All attachments elaborated in Clause 14 of the RfE, under the sub-clause vi, vii, viii and ix. Attachments, with proper file names.
(c) All supporting documents regarding meeting the eligibility criteria.
(d) Scanned Copies of NEFT/RTGS details towards Bid Processing Fee as mentioned in Bid Information Sheet.

The Bidder will have to fill the Electronic Form provided at the ISN-ETS portal as part of Technical Bid.

II. Important Note:

(a) The Bidders shall not deviate from the naming and the numbering formats of envelops mentioned above, in any manner.
All the envelopes shall be properly sealed with the signature of the Authorized Signatory running across the sealing of the envelopes.

(b) In case the Bidder submits the online documents on ISN-ETS within the bid submission deadlines and fails to submit the offline documents in the office of SECI within the bid submission deadlines, the online bid of the Bidder shall not be opened and shall be 'archived' on the ISN-ETS portal. Similarly, bids submitted offline but without any online submission on ISN-ETS portal shall not be opened. In such cases, Bid Processing fee, if paid by the Bidder, will not be refunded to the Bidder.

(c) In case a Bidder has paid Bid Processing Fee for this RfE and chooses not to participate in the bidding process (i.e. the Bidder does not submit any of the online or offline bid documents to SECI), the respective amounts paid to SECI will be refunded without any interest payment, to the respective Bidder.

18 Validity of the Response to RfE

The Bidder shall submit the response to RfE which shall remain valid up to the date as on 12 months from the last date of submission of response to RfE ("Bid Validity"). SECI reserves the right to reject any response to RfE which does not meet the aforementioned validity requirement.

19 Bid Preparation Cost

The Bidder shall be responsible for all the costs associated with the preparation of the response to RfE and participation in discussions and attending pre-bid meeting(s) etc. SECI shall not be responsible in any way for such costs, regardless of the conduct or outcome of the bid process.

20 Clarifications/ Pre-Bid Meeting/ Enquiries/ Amendments

20.1 Clarifications/ Doubts, if any, on RfE document may be emailed and/ or through ISN-ETS portal. The format for submission of clarifications is available on the portal.

20.2 SECI will make efforts to respond to the same in the Pre-Bid Meeting to be held as mentioned in the Bid Information Sheet. A compiled list of such questionnaire and SECI's response will be uploaded in the ISN-ETS portal https://www.bharat-electronictender.com. If necessary, amendments, clarifications, and elaborations shall be issued by SECI which will be notified on SECI/ ISN-ETS web site. No separate reply/

intimation will be given for the above, elsewhere. In the event of the issuance of any revision or amendment of the RfE documents, the Bidders shall be provided a period of up to 7 days therefrom, for submission of bids.

20.3 A Pre-Bid Meeting shall be held as mentioned in the Bid Information Sheet (Venue to be notified later on SECI's website).

21 Right of SECI to Reject a Bid

SECI reserves the right to reject any or all of the responses to RfE or cancel the RfE or annul the bidding process at any stage without assigning any reasons whatsoever and without thereby any liability. In the event of the tender cancellation prior to opening of bids, the processing fee (if amount credited to SECI's account), without any interests shall be returned to the respective Bidders.

Note: In the event of opening of bids, bid processing fee will not be refunded. In such cases, refund of GST amount will be dealt with according to extant provisions of GST Act.

22 Post Empanelment Compliances

22.1 Timely completion of all the milestones, i.e. signing of NDA, Audit, etc. will be the sole responsibility of Auditor. SECI shall not be liable for issuing any intimations/ reminders to Auditors for timely completion of milestones and/ or submission of compliance documents.

22.2 Any checklist shared with Auditor by SECI for compliance of above-mentioned milestones to be considered for the purpose of facilitation only. Any additional documents required as per the conditions of Guidelines, RfE and Work Order must be submitted timely by the Auditor.

22.3 **Indemnification and Liability**

a. **General Indemnification and Protection of Assets:** The Empanelled Auditor shall indemnify, defend, and hold harmless SECI, and their respective officers, directors, and employees from and against any and all third-party claims, losses, costs, tangible damages, or expenses (including reasonable legal fees) arising directly out of:

- Any physical or operational damage to the RPD's Information Technology (IT) or Operational Technology (OT) assets—including SCADA downtime or process disruption—caused by the gross negligence, willful misconduct, or unauthorized active/intrusive testing by the Auditor or its personnel.
- Any breach of statutory compliance, data confidentiality, or the executed Non-Disclosure Agreement (NDA) by the Auditor, its employees, or representatives.

b. **Extent of Assurance and Limitation of Liability**

- **Nature of Assurance:** SECI acknowledge that the cybersecurity audit is a point-in-time assessment of the facility's IT and OT infrastructure, evaluated

against prevailing standards (e.g., CEA Cyber Security Regulations 2024, ISO/IEC 27001, IEC 62443). The successful completion of the audit and issuance of a closure certificate do not constitute a warranty or absolute guarantee against future cyber-attacks, zero-day vulnerabilities, or subsequent threat actor intrusions.

- **Liability Cap:** Except in cases of fraud, willful misconduct, gross negligence, or breach of strict data confidentiality, the total aggregate liability of the Empanelled Auditor to RPD—arising out of or in connection with any specific audit assignment—shall not exceed **100%** of the total Work Order value issued for that specific assignment.

c. **Personnel Safety and Site Insurance**

- **Auditor Responsibility:** The Auditor is entirely responsible for the physical safety, occupational health, and security of its audit team and personnel deployed to the RPD's physical project sites.
- **Mandatory Insurance:** Prior to site mobilization, the Auditor must ensure that all deployed personnel are covered by adequate life, accident, medical, and travel insurance (including Workmen's Compensation, where applicable under Indian Law).
- **Waiver of Liability:** Neither SECI nor the RPD shall be held liable or responsible for any injury, accident, loss of life, or loss of personal property sustained by the Auditor's personnel during transit to or while executing duties at the project site, except where such incident is proven to be the direct result of the RPD's gross negligence regarding industrial site safety.

SECTION 4. QUALIFICATION REQUIRMENTS FOR BIDDERS

Short listing of Bidders will be based on the following Criteria:

23 Pre-Qualification Criteria

Bidders participating in the RfE will be required to meet the following eligibility criteria.

S. No.	Pre-qualification Criteria
PQ-1	The bidder shall be currently empanelled with CERT-In as an Information Security Auditing Organisation under the CERT-In Empanelment Scheme. Certificate must be valid as of the date of bid submission. **Documentary Proof:** Copy of valid CERT-In empanelment certificate as issued by CERT-In.
PQ-2	The following categories of entities shall be eligible as Bidders: 1. Companies registered under the Companies Act, 2013 2. Limited Liability Partnerships 3. Government-owned Enterprises incorporated in India.
PQ-3	**Technical Experience**- The following completed assignments are required: 1. At least one (01) completed IT security audit (VAPT / IS Audit / network security audit). 2. At least one (01) completed OT/ICS security assessment conducted on process control systems at an industrial or utility facility. **Documentary Proof:** Work Order (WO) / Purchase Order (PO)/ LoA along with Performance Certificate / Completion Certificate/ Successful Order execution confirmation from client.
PQ-4	Bidder must be ISO/IEC 27001 (Information Security Management System) certified valid as on the date of bid submission.

As on the bid submission deadline, the Bidder should not be a wilful defaulter to any lender. Further, as on the bid submission deadline, the Bidder & any of its Affiliate including any Consortium Member & any of its Affiliate, their directors should not have been barred or included in the blacklist by any government agency or authority in India, the government of the jurisdiction of the Bidder or Members where they are incorporated or the jurisdiction of their principal place of business, any international financial institution such as the World Bank Group, Asian Development Bank, African Development Bank, Inter-American Development Bank, Asian Infrastructure Investment Bank etc. or the United Nations or any of its agencies. The Bidder shall submit an undertaking to this effect as per Format 7.4 of the RfE.

Bidders not meeting the pre-qualification criteria shall be summarily rejected and shall not be considered for further evaluation.

24 Quality Based Selection Criteria

24.1 The bids submitted by pre-qualified bidders (as per clause 23 above) will be evaluated based on the detailed criteria mentioned below:

<table>
<tr><th>S. No.</th><th colspan="2">Criteria</th><th>Max Marks</th><th>Criteria for Scoring</th></tr>
<tr><td>C1</td><td><u>Auditing Organization Experience:</u> The number of years the bidder has been engaged in the field of cybersecurity, especially in cybersecurity audits, is an indicator of technical exposure, knowledge, and understanding in identifying and addressing cybersecurity risks.</td><td>Work orders along with completion certificates validating years of cybersecurity experience.</td><td>10</td><td><table><tr><th>Cybersecurity Experience (‘X’ years)</th><th>Marks</th></tr><tr><td>5<X</td><td>10</td></tr><tr><td>3<X≤5</td><td>8</td></tr><tr><td>1<X≤3</td><td>5</td></tr><tr><td>0<X≤1</td><td>3</td></tr><tr><td>Nil</td><td>0</td></tr></table></td></tr>
<tr><td>C2</td><td>Technical Manpower Expertise: The bidder shall have certified professionals on the company payroll with valid certifications demonstrating expertise in cybersecurity governance, risk management, technical security controls, and compliance. Recognized certifications include: CISSP, CISM, CISA, IEC 62443, ISO 27001 Lead Auditor, CEH, OSCP, GICSP, ISO 27001 LI, and CERT-In empanelled auditor credential.</td><td>Copies of relevant certifications for each of the employees; Documentary proof of full-time employees holding valid certifications which can include appointment letters, employment proofs confirming payroll status etc.;</td><td>15</td><td><table><tr><th>No. of Certified Professionals (‘X’)</th><th>Marks</th></tr><tr><td>20≤X</td><td>15</td></tr><tr><td>10≤X<20</td><td>12</td></tr><tr><td>5≤X<10</td><td>8</td></tr><tr><td>0<X<5</td><td>4</td></tr><tr><td>Nil</td><td>0</td></tr></table></td></tr>
<tr><td>C3</td><td>Number of Cybersecurity Audits in Last Three Years: This criterion assesses the frequency of cybersecurity audit engagements, enabling the organization to stay updated with the latest regulatory requirements, evolving threat landscapes, and emerging security technologies.</td><td>Work orders along with completion certificates for completed cybersecurity audits in the last three years.</td><td>15</td><td><table><tr><th>No. of Audits in Last 3 Years (‘X’)</th><th>Marks</th></tr><tr><td>20≤X</td><td>15</td></tr><tr><td>10≤X<20</td><td>12</td></tr><tr><td>5≤X<10</td><td>8</td></tr><tr><td>0<X<5</td><td>4</td></tr><tr><td>Nil</td><td>0</td></tr></table></td></tr>
<tr><td>C4</td><td>Certifications for Recognized Standards: The bidder shall possess valid certifications for recognized standards, demonstrating commitment to global standards and best practices.
<u>Required certifications:</u>
— ISO/IEC 27001 (Information Security Management System) — Mandatory</td><td>Valid certificates from accredited certification bodies. ISO 27001 is mandatory. ISO 9001, ISO 20000, ISO 27006 etc. certificates to be submitted where</td><td>10</td><td><table><tr><th>Certifications Held</th><th>Marks</th></tr><tr><td>All four</td><td>10</td></tr><tr><td>Any three</td><td>6</td></tr><tr><td>Any two</td><td>4</td></tr><tr><td>ISO 27001 only</td><td>2</td></tr></table></td></tr>
</table>

<table>
<tr><td></td><td>— ISO 9001 (Quality Management System)
— ISO 20000 (IT Service Management)
— ISO/IEC 17021 or ISO/IEC 27006 (where applicable)</td><td>held.</td><td></td><td></td></tr>
<tr><td>C5</td><td>Additional Criteria for OT System Cybersecurity Audit — Project Experience in OT Systems: This criterion evaluates the project experience of the auditing organization in Operational Technology (OT) systems, ensuring that organizations have the necessary experience to effectively assess and secure OT systems in accordance with industry standards.</td><td>Work orders along with completion certificates evidencing OT domain cybersecurity project experience.</td><td>15</td><td><table><tr><th>OT Domain Experience (‘X’ years)</th><th>Marks</th></tr><tr><td>20≤X</td><td>15</td></tr><tr><td>10≤X<20</td><td>12</td></tr><tr><td>5≤X<10</td><td>8</td></tr><tr><td>0<X<5</td><td>4</td></tr><tr><td>Nil</td><td>0</td></tr></table></td></tr>
<tr><td>C6</td><td>Power / Energy Sector Experience: This criterion assesses contextual familiarity with the power, energy sector.

Mandatory Threshold:
At least two (2) of the assignments counted under C3 (Cybersecurity Audits in Last Three Years) must have been conducted at entities in one or more of the following sectors: Power Generation (RE, any fuel type etc), Power Transmission, Power Distribution, Load Dispatch Centres, Oil & Gas.</td><td>Work orders/contracts along with completion certificates clearly identifying the sector of the auditee entity.</td><td>25</td><td><table><tr><th>Sector Experience (‘X’ years)</th><th>Marks</th></tr><tr><td>10≤X</td><td>25</td></tr><tr><td>7≤X≤9</td><td>20</td></tr><tr><td>4≤X≤6</td><td>15</td></tr><tr><td>2≤X<4</td><td>10</td></tr><tr><td>X<2</td><td>0</td></tr></table></td></tr>
<tr><td>C7</td><td>Additional OT System Specific Criterion — Availability of Technical Experts in the OT Domain: This criterion evaluates the availability of technical expertise and experience of personnel in the OT domain, particularly with systems such as SCADA, Distributed Control Systems (DCS), and Substation Automation Systems (SAS), ensuring the auditing organization has qualified personnel capable of conducting audits of deployed OT systems.</td><td>CVs and certifications of OT-qualified personnel (IEC 62443, SIL, or equivalent); appointment letters/ employment proofs confirming payroll status.</td><td>10</td><td><table><tr><th>No. of Sector specific Experience manpower (‘X’)</th><th>Marks</th></tr><tr><td>10≤X</td><td>10</td></tr><tr><td>5≤X<10</td><td>8</td></tr><tr><td>2≤X<5</td><td>5</td></tr><tr><td>X<2</td><td>3</td></tr></table></td></tr>
<tr><td colspan="3">Total</td><td>100</td><td></td></tr>
</table>

Note: *Documentary Proof- Work Order (WO) / Purchase Order (PO)/ LoA along with Performance Certificate / Completion Certificate/ Successful Order execution confirmation from client.*

SECTION 5. BID EVALUATION AND EMPANELMENT OF AUDITORS

25 Bid Evaluation

Bid evaluation will be carried out considering the information furnished by Bidders as per provisions of this RfE. The detailed evaluation procedure and selection of bidders are described in subsequent clauses in this Section.

26 Pre-Qualification Evaluation of Bidders (Step I)

26.1 The Bid submitted online of only those bidders will be opened by SECI whose required documents as mentioned at Clause 17 of the RfE are received by SECI. Bid opening (online and offline) will be done only after the deadline for Offline bid submission.

For e.g., if the Offline Bid submission deadline is 18:00 hrs on 25.09.2026, the online and offline bid opening will be conducted on 27.09.2026. In case of the above date being a holiday, the bids will be opened on the next working day.

26.2 Documents (as mentioned in the previous clause) received after the bid submission deadline specified in the Bid Information Sheet shall be rejected and returned unopened, if super-scribed properly with address, to the Bidder.

26.3 Subject to Clause 17 of the RfE, SECI will examine all the documents submitted by the Bidders and ascertain meeting of eligibility conditions prescribed in the RfE. During the examination of bids, SECI may seek clarifications/additional documents to the documents submitted etc. from the Bidders if required to satisfy themselves for meeting the eligibility conditions by the Bidders. Bidders shall be required to respond to any clarifications/additional documents sought by SECI within 07 (seven) days from the date of such intimation from SECI. All correspondence in this regard shall be made through email/ISN-ETS portal only. It shall be the responsibility of the Bidder to ensure that the email id of the authorized signatory of the Bidder is functional. The Bidder may provide an additional email id of the authorized signatory in the covering letter. No reminders in this case shall be sent. It shall be the sole responsibility of the Bidders to remove all the discrepancies and furnish additional documents as requested. SECI shall not be responsible for rejection of any bid on account of the above.

26.4 The response to RfE submitted by the Bidder shall be scrutinized to establish Pre-Qualification Criteria as per the RfE.

27 Quality Based Selection (Step-II)

27.1 In this step, the bids submitted by Pre Qualified bidders, as per clause 23 above, will be evaluated based on the detailed criteria mentioned in clause 24 above.

27.2 The bidders securing at least 70 marks shall be empaneled in the Geographic Zones applied for in Format 7.1.

28 Empanelment of Bidders and issuance of NoE and LoE

28.1 At the end of empanelment process, Notification of Empanelment (NoE) will be issued to the Successful Bidder who have been empanelled as defined above in Clause 27. Subsequent to submission of requisite Empanelment Fees, the Letter of Empanelment (LoE) will be issued to the successful bidder.

28.2 Each Successful Bidder shall acknowledge the LoE and return duplicate of the same, duly signed and stamped by the authorized signatory of the Successful Bidder to SECI within 07 (Seven) days of issue of LoE, failing which it will be deemed to have been accepted by the Bidder.

28.3 If the Successful Bidder, to whom the LoE has been issued, does not fulfil any of the conditions specified in Bid document, then SECI reserves the right to annul/cancel the award of the Letter of Empanelment of such Successful Bidders.

28.4 In all cases, SECI's decision regarding empanelment of Bidders or annulment of tender process shall be final and binding on all participating bidders.

SECTION 6. DEFINITIONS OF TERMS

29 Following terms used in the documents will carry the meaning and interpretations as described below:

29.1 **"ACT" or "ELECTRICITY ACT, 2003"** shall mean the Electricity Act, 2003 and include any modifications, amendments and substitution from time to time.

29.2 **"ASSIGNMENT"** shall mean the audit work assigned to the Auditor as per this RfE and subsequent RfQs.

29.3 **"BID"** or **"PROPOSAL"** shall mean the documents submitted by the Bidder towards meeting the technical and financial qualifying requirements, along with the price bid submitted by the Bidder and submissions during the e-Reverse Auctions, if applicable, as part of its response to the RfE issued by SECI.

29.4 **"BIDDER"** shall mean Bidding Entity submitting the Bid. Any reference to the Bidder includes Bidding Entity including its successors, executors and permitted assigns, as the context may require;

29.5 **"BIDDING CONSORTIUM"** or **"CONSORTIUM"** shall refer to a group of Companies that collectively submit the response in accordance with the provisions of this RfE under a Consortium Agreement.

29.6 **"CHARTERED ACCOUNTANT"** shall mean a person practicing in India or a firm whereof all the partners practicing in India as a Chartered Accountant(s) within the meaning of the Chartered Accountants Act, 1949.

For bidders incorporated in countries other than India, "Chartered Accountant" shall mean a person or a firm practicing in the respective country and designated/ registered under the corresponding Statutes/ laws of the respective country.

29.7 **"COMPANY"** shall mean a body corporate incorporated in India under the Companies Act, 2013 or any law in India prior thereto relating to Companies, as applicable.

29.8 **"CONTRACT YEAR"** shall mean the period beginning from the Effective Date of the Empanelment and ending on the date as on 12 months from the Effective Date of the Empanelment

29.9 **"CONTROL"** shall mean the ownership, directly or indirectly, of more than 50% (fifty percent) of the voting shares of such Company or right to appoint majority Directors.

29.10 **"CONTROLLING SHAREHOLDING"** shall mean more than 50% of the voting rights and paid-up share capital in the Company/ Consortium.

29.11 **"DAY"** shall mean calendar day.

29.12 **"EFFECTIVE DATE"** shall be the date of issuance of LoE, or any other date mentioned in LoE.

29.13 **"EQUITY"** shall mean Net Worth as defined in Companies Act, 2013.

29.14 **"GRID CODE REGULATIONS" or "GRID CODE"** shall mean the Central Electricity Regulatory Commission (Indian Electricity Grid Code) Regulations, 2023, as amended from time to time.

29.15 **"GROUP COMPANY"** of a Company means

i. a Company which, directly or indirectly, holds 10% (Ten Percent) or more of the share capital of the Company or;

ii. a Company in which the Company, directly or indirectly, holds 10% (Ten Percent) or more of the share capital of such Company or;

iii. a Company in which the Company, directly or indirectly, has the power to direct or cause to be directed the management and policies of such Company whether through the ownership of securities or agreement or any other arrangement or otherwise or;

iv. a Company which, directly or indirectly, has the power to direct or cause to be directed the management and policies of the Company whether through the ownership of securities or agreement or any other arrangement or otherwise or;

v. a Company which is under common control with the Company, and control means ownership by one Company of at least 10% (Ten Percent) of the share capital of the other Company or power to direct or cause to be directed the management and policies of such Company whether through the ownership of securities or agreement or any other arrangement or otherwise;

Provided that entities which have Government shareholding, financial institution, scheduled bank, foreign institutional investor, Non-Banking Financial Company, and any mutual fund, pension funds, sovereign funds and funds managed by National Investment and Infrastructure Fund Limited shall not be deemed to be Group Company, and its shareholding and the power to direct or cause to be directed the management and policies of a Company shall not be considered for the purposes of this definition unless it is the Project Company or a Member of the Consortium developing the Project.

29.16 **"INTERESTED PARTIES"** shall mean a situation where control is equally distributed among interested parties in the Group Company;

29.17 **"IT ASSET"** shall be any computer resource — that is, any computer, computer system, computer network, data, computer database, or software — being any electronic, magnetic, optical, or other high-speed data processing device or system which performs logical, arithmetic, and memory functions, together with all input, output, processing, storage, computer software, or communication facilities connected or related to it within a computer system or computer network — including servers, workstations, endpoints, network and security devices, applications, databases, and storage and communication facilities, whose compromise, unauthorised access, or unavailability could impact the confidentiality, integrity, or availability of information, business continuity, or — where the resource directly or indirectly affects the facility of Critical Information Infrastructure.

29.18 **"JOINT CONTROL"** shall mean a situation where a company has multiple promoters

(but none of the shareholders has more than 50% of voting rights and paid up share capital).

29.19 **"LEAD MEMBER OF THE BIDDING CONSORTIUM"** or **"LEAD MEMBER"**: There shall be only one Lead Member, having the shareholding of not less 51% in the Bidding Consortium.

29.20 **"LETTER OF EMPANELMENT"** or **"LoE"** shall mean the letter issued by Solar Energy Corporation of India Limited (SECI) to the empaneled Bidder.

29.21 **"LIMITED LIABILITY PARTNERSHIP"** or **"LLP"** shall mean a Company governed by Limited Liability Partnership Act 2008 or as amended.

29.22 **"LLC"** shall mean Limited Liability Company.

29.23 **"MEMBER IN A BIDDING CONSORTIUM"** or **"MEMBER"** shall mean each Company in a Bidding Consortium. In case of a Technology Partner being a member in the Consortium, it has to be a Company.

29.24 **"MONTH"** shall mean calendar month.

29.25 **"NET-WORTH"** shall mean the Net-Worth as defined in section 2 of the Companies Act, 2013.

29.26 "**OT ASSET**" shall mean any hardware device, software application, or network component within an Operational Technology (OT) environment that participates in or supports industrial control and monitoring functions — including PLCs, RTUs, HMIs, Controllers, Historians, and network devices — whose compromise or unavailability could impact operational continuity, process safety, or production integrity.

29.27 **"PAID-UP SHARE CAPITAL"** shall mean the paid-up share capital as defined in Section 2 of the Companies Act, 2013.

29.28 **"PARENT"** shall mean a Company, which holds more than 50% voting rights and paid-up share capital, either directly or indirectly in the Project Company or a Member in a Consortium developing the Project.

29.29 **"PROJECT"** shall mean the Renewable Energy Project developed by the Renewable Power Developer.

29.30 **"PROJECT DEVELOPER"** or **"DEVELOPER"** or **"RENEWABLE POWER DEVELOPER"** shall mean the Entity which has developed the Renewable Energy Project.

29.31 **"PROMOTER"** shall mean Promoter as defined in the Companies Act, 2013.

29.32 **"RfE"** or **"RfE DOCUMENT"** or **"BIDDING DOCUMENT(S)"** or **"TENDER DOCUMENTS"** shall mean the "Request for Selection" document issued by SECI along with subsequent clarifications and amendments thereof, vide RfE No. SECI/C&P/EOI/17/0004/26-27 dated 28.08.2026.

29.33 **"SECI"** shall mean Solar Energy Corporation of India Limited.

29.34 **"SELECTED BIDDER"** or **"SUCCESSFUL BIDDER"** shall mean the Bidder selected pursuant to this RfE for empanelment.

29.35 **"SOLAR PV PROJECT"** or **"SOLAR POWER GENERATING SYSTEM/ STATION"** shall mean the Solar Photo Voltaic Power Project that uses sunlight for direct conversion of solar energy into electricity through Photo Voltaic Technology.

29.36 **"TOE"** shall mean Tender Opening Event.

29.37 **"ULTIMATE PARENT"** shall mean a Company, which owns more than 50% (Fifty Percent) voting rights and paid-up share capital, either directly or indirectly in the Parent and Affiliates.

29.38 **"WEEK"** shall mean calendar week.

SECTION 7. SAMPLE FORMS & FORMATS FOR BID SUBMISSION

The following formats are required to be submitted as part of the RfE. These formats are designed to demonstrate the Bidder's compliance with the Qualification Requirements set forth in Section 4 and other submission requirements specified in the RfE.

Format 7.1

COVERING LETTER

(The Covering Letter should be submitted on the Letter Head of the Bidding Entity)

Ref. No. _____ Date: _____
From: _____ *(Insert name and address of Bidding Entity*)
Tel.#:
E-mail address#

To
Solar Energy Corporation of India Limited
6th Floor, Plate-B, NBCC Office Block Tower-2,
East Kidwai Nagar, New Delhi - 110 023

Sub: Response to RfE No. …….. dated …….. for ……(Insert title of the RfE)

Dear Sir/ Madam,

We, the undersigned ……. *[insert name of the 'Bidder']* having read, examined and understood in detail the RfE including Pre-Qualification and Qualification Requirements in particular, hereby submit our response to RfE.

We also confirm that we, including our Ultimate Parent Company/ Parent Company/ Affiliate/ Group Companies directly or indirectly, have not submitted response to RfE other than this response to RfE.

We are submitting application for empanelment under the following Zones

S. No.	Zones	States/Union Territories	Participation (Yes/No)
1	Zone 1 (Northern)	Jammu and Kashmir, Himachal Pradesh, Punjab, Haryana, Uttarakhand, Delhi, Rajasthan, Chandigarh, Ladakh	
2	Zone 2 (Western)	Gujarat, Maharashtra, Goa, Dadra and Nagar Haveli and Daman and Diu	
3	Zone 3 (Southern)	Andhra Pradesh, Telangana, Karnataka, Tamil Nadu, Kerala, Puducherry, Andaman and Nicobar Islands, Lakshadweep	

4	Zone 4 (Eastern)	Bihar, Jharkhand, Odisha, West Bengal, Sikkim, Northeastern States	
5	Zone 5 (Central)	Madhya Pradesh, Uttar Pradesh, Chhattisgarh	

1. We give our unconditional acceptance to the RfE, dated …… *[Insert date in dd/mm/yyyy]*, attached thereto, issued by SECI. In token of our acceptance of the RfE document along with the amendments and clarifications issued by SECI, the same have been digitally signed by us and enclosed with the response to RfE. We shall ensure that the Work Order is executed as per the provisions of the RfE and shall be binding on us.

2. We hereby declare that in the event our empanelment and we are not able to submit Empanelment Fees of requisite value(s) for the Empaneled Zone (s), within due time as mentioned in Clause no. 11 of this RfE on issue of LoE by SECI and/ or we are not able to sign NDA within the timeline as stipulated in the RfE and/ or violate NDA and/or we are not able to execute the Work Order issued by SECI subsequent to our empanelment, SECI reserves the right to immediately de-empanel us, permanently disqualify us from participating in future SECI empanelment tenders, forfeit our Annual Empanelment Fee, immediately terminate or reassign all our active Assignments, and add our name to SECI's negative empanelment register.

3. We have submitted our response to RfE strictly as per Section 7 (Sample Forms and Formats) of this RfE, without any deviations, conditions and without mentioning any assumptions or notes in the said Formats.

4. Acceptance:-

We hereby unconditionally and irrevocably agree and accept that the decision made by SECI in respect of any matter regarding or arising out of the RfE shall be binding on us. We hereby expressly waive and withdraw any deviations from the provisions of the RfE and all claims in respect of this process.

We also unconditionally and irrevocably agree and accept that the decision made by SECI in respect of empanelment according to our preference as above and in line with the provisions of the RfE, shall be binding on us.

5. <u>Familiarity with Relevant Indian Laws & Regulations:-</u>

We confirm that we have studied the provisions of the relevant Indian Laws and Regulations as required to enable us to submit this response to RfE and execute the Work Order(s)/Audit (s), in the event of our selection as Successful Bidder.

6. We are submitting our response to the RfE with formats duly signed as desired by you in the RfE online for your consideration.

7. It is confirmed that our response to the RfE is consistent with all the requirements of submission as stated in the RfE, including all clarifications and amendments and

subsequent communications from SECI.

8. The information submitted in our response to the RfE is correct to the best of our knowledge and understanding. We would be solely responsible for any errors or omissions in our response to the RfE.

9. We confirm that all the terms and conditions of our Bid are valid for a period up to the date as on 12 months from the last date of submission of response to RfE.

10. Contact Person

Details of the representative to be contacted by SECI are as under:

Name : ……
Designation : ……
Company : ……
Address : ……
Phone Nos. : ……
Mobile Nos. : ……
E-mail address: ……

11. We have neither made any statement nor provided any information in this Bid, which to the best of our knowledge is materially inaccurate or misleading. Further, all the confirmations, declarations and representations made in our Bid are true and accurate. In case this is found to be incorrect after our selection as Successful Bidder, we agree that the same would be treated as event of default under this RfE and consequent provisions of RfE shall apply.

Dated the _____ day of_____, 20….

Thanking you,

We remain,
Yours faithfully,

Name, Designation, Seal and Signature of Authorized Person in whose name Power of Attorney/ Board Resolution/ Declaration.

Format 7.2

FORMAT FOR POWER OF ATTORNEY

(Applicable Only in case of Limited Liability Partnership)

(*To be stamped in accordance with Stamp Act, the Non-Judicial Stamp Paper of Appropriate Value***)**

KNOW ALL MEN BY THESE PRESENTS THAT, we……. (name and address of the registered office of the bidder) do hereby constitute, appoint and authorize Mr./Ms..……..……. (name and address) who is presently employed with us and holding the position of ……. as our true and lawful attorney, to do in our name and on our behalf, all such acts, deeds and things necessary in connection with or incidental to submission of our Bid for Request for Empanelment of Experienced Cert-In empanelled Auditors for RE Sector in response to the RfE No …… dated ……. issued by Solar Energy Corporation of India Limited (SECI), New Delhi including signing and submission of the Bid and all other documents related to the Bid, including but not limited to undertakings, letters, certificates, acceptances, clarifications, guarantees or any other document which the SECI may require us to submit.

The aforesaid Attorney is further authorized for making representations to the Solar Energy Corporation of India Limited, New Delhi and providing information/ responses to SECI, New Delhi representing us in all matters before SECI, New Delhi and generally dealing with SECI, New Delhi in all matters in connection with Bid till the completion of the bidding process as per the terms of the above mentioned RfE.

We hereby agree to ratify all acts, deeds and things done by our said attorney pursuant to this Power of Attorney and that all acts, deeds and things done by our aforesaid attorney shall be binding on us and shall always be deemed to have been done by us.

All the terms used herein but not defined shall have the meaning ascribed to such terms under the Tender.

Signed by the within named …….. (Insert the name of the executant) through the hand of Mr. …… (Insert the names of partners in LLP).

Dated this …… day of ……

Accepted

……..

Signature of Attorney

(Name, designation and address of the Attorney)

Attested

---------- (Signature of person authorized by the board)

(Name
Designation
Place:
Date:)
Accepted

……

(Signature of the Partners of LLP)

(Name, designation and address of the Partners)

……

Signature and stamp of Notary of the place of execution

Common seal of …… has been affixed in my/ our presence.

WITNESS

1.……(Signature)

Name……..

Designation……

2.……(Signature)

Name……..

Designation ……

Notes:

The mode of execution of the power of attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and the same should be under common seal of the executant affixed in accordance with the applicable procedure. Further, the person whose signatures are to be provided on the power of attorney shall be duly authorized by the executant(s) in this regard.

Format 7.3

FORMAT FOR BOARD RESOLUTIONS

The Board, after discussion, at the duly convened Meeting on …… [*Insert date*], with the consent of all the Directors present and in compliance of the provisions of the Companies Act, 1956 or Companies Act 2013, as applicable, passed the following Resolution:

RESOLVED THAT Mr/ Ms……., be and is hereby authorized to do on our behalf, all such acts, deeds and things necessary in connection with or incidental to our response to RfE vide RfE No. _____for _____(insert title of the RfE), including signing and submission of all documents and providing information/ response to RfE to Solar Energy Corporation of India Limited (SECI), representing us in all matters before SECI, and generally dealing with SECI in all matters in connection with our bid for the said Assignments.

Certified True Copy

(Signature, Name and Stamp of Company Secretary)

DIN No./ CS registration No…….

Notes:

1) This certified true copy should be submitted on the letterhead of the Company, signed by the Company Secretary/ Director.
2) The contents of the format may be suitably re-worded indicating the identity of the entity passing the resolution.
3) This format may be modified only to the limited extent required to comply with the local regulations and laws applicable to a foreign entity submitting this resolution. For example, reference to Companies Act, 1956 or Companies Act, 2013 as applicable may be suitably modified to refer to the law applicable to the entity submitting the resolution. However, in such case, the foreign entity shall submit an unqualified opinion issued by the legal counsel of such foreign entity, stating that the Board resolutions are in compliance with the applicable laws of the respective jurisdictions of the issuing Company and the authorizations granted therein are true and valid.

Format 7.4

UNDERTAKING

(To be submitted on the letterhead of the Bidder)

We, hereby provide this undertaking to Solar Energy Corporation of India Limited, in respect to our response to RfE vide RfE No. _____dated_____, that as on _____ [Insert last date of bid submission], M/s _____ (insert name of the Bidder), or any of its Affiliates is not a willful defaulter to any lender. We further undertake that as on _____ [Insert last date of bid submission], M/s _____ (insert name of the Bidder) & any of its Affiliate including any of its Affiliate, their directors have not been barred or included in the blacklist by any government agency or authority in India, the government of the jurisdiction of the Bidder or Members where they are incorporated or the jurisdiction of their principal place of business, any international financial institution such as the World Bank Group, Asian Development Bank, African Development Bank, Inter-American Development Bank, Asian Infrastructure Investment Bank etc., or the United Nations or any of its agencies.

(Name and Signature of the Authorized Signatory)

Format 7.5

FORMAT FOR TECHNICAL REQUIREMENT

(To be submitted on the Letter Head of the Bidder)

Ref. No. _____ Date: _____

From: _____ *(Insert name and address of Bidder*)
Tel. #:
E-mail address#

To
Solar Energy Corporation of India Limited

6th Floor, Plate-B, NBCC Office Block Tower-2,

East Kidwai Nagar, New Delhi - 110 023

Sub: Response to RfE No. _____ dated _____ for _____.

Dear Sir/ Madam,

We certify that the Bidder is meeting the Technical Eligibility Requirements as per the provisions of the RfE.

Order No., Order date and description of the order	**Name of the Client, full address and contact details of the Officer in charge**	**Date of commencement of order, Schedule Completion timeline**	**Date of Actual Completion and reasons for delay, if any**	**Supporting documents submitted***

*Work order/Contract/Agreement/LOA and proof of Completion issued by Client.

Dated the _____ day of_____, 20___.
Thanking you,
We remain,
Yours faithfully,
Name, Designation, Seal and Signature of Authorized Person in whose name Power of Attorney/ Board Resolution.

Annexure-A

SPECIAL INSTRUCTIONS TO BIDDERS FOR e-TENDERING

General

The Special Instructions (for e-Tendering) supplement 'Instruction to Bidders', as given in these Tender Documents. Submission of Online Bids is mandatory for this Tender.

E-Tendering has been mandated by Govt. of India for conducting Public Procurement in a transparent and secured manner. Suppliers/ Bidders are the biggest beneficiaries of this new system of procurement. For conducting electronic tendering, *Solar Energy Corporation of India Limited (SECI)* is using the portal https://www.bharat-electronictender.com. This portal is based on the world's most 'secure' and 'user friendly' software from ElectronicTender®. A portal built using ElectronicTender's software is also referred to as ElectronicTender System® (ETS).

Benefits to Suppliers are outlined on the Homepage of the portal.

Instructions

Tender Bidding Methodology:

Sealed Bid System
Single Stage Single Envelope

Broad Outline of Activities from Bidder's Perspective (The entire bid-submission would be online on ETS, broad outline of submissions are as follows):

1. Procure a Class-III Digital Signing Certificate (DSC)
2. Register on ElectronicTender System® (ETS)
3. Create Marketing Authorities (MAs), Users and assign roles on ETS.
4. View Notice Inviting Tender (NIT) on ETS
5. For this tender -- Assign Tender Search Code (TSC) to an MA
6. Download Official Copy of Tender Documents from ETS. Note: Official copy of Tender Documents is distinct from downloading 'Free Copy of Tender Documents'.
7. Clarification to Tender Documents on ETS
   - Query to *SECI* (Optional)
   - View response to queries posted by *SECI*
8. Digitally signing copy of Tender Documents/ Addendum
9. Submission of Bid-Parts
   - ElectronicForm
   - Composite Main-Bid (Both Technical and Financial in a common envelope)

For participating in this tender online, the following instructions are to be read carefully. These instructions are supplemented with more detailed guidelines on the relevant screens of the ETS.

Digital Certificates

For integrity of data and authenticity/ non-repudiation of electronic records, and to be compliant with IT Act 2000, it is necessary for each user to have a Digital Certificate (DC). also referred to as Digital Signature Certificate (DSC), of Class-III, issued by a Certifying Authority (CA) licensed by Controller of Certifying Authorities (CCA) [refer http://www.cca.gov.in].

Registration

To use the ElectronicTender® portal https://www.bharat-electronictender.com, bidders need to register on the portal. Registration of each organization is to be done by one of its senior persons who will be the main person coordinating for the e-tendering activities. In ETS terminology, this person will be referred to as the Super User (SU) of that organization. For further details, please visit the website/portal, and click on the 'Supplier Organization' link under 'Registration' (on the Home Page), and follow further instructions as given on the site, and special instruction given in the RFP in this regard. Pay Annual Registration Fee as applicable.

After successful submission of Registration details and Annual Registration Fee, please contact ISN-ETS/ ETS Helpdesk (as given below), to get your registration accepted/activated

Important Note: To minimize teething problems during the use of ETS (including the Registration process), it is recommended that the user should peruse the instructions given under 'ETS User-Guidance Center' located on ETS Home Page, including instructions for timely registration on ETS. The instructions relating to 'Essential Computer Security Settings for Use of ETS' and 'Important Functionality Checks' should be especially taken into cognizance.

Please note that even after acceptance of your registration by the Service Provider, to respond to a tender you will also require time to complete activities related to your organization, such as creation of users, assigning roles to them, etc.

ETS Portal Helpdesk	
Telephone/ Mobile	*Customer Support: +91-124 - 4229071, 4229072* [Between 9:00 am to 6:00 pm IST on all working days]
E-mail ID	*support@isn-ets.com*

Other Instructions

For further instructions, the vendor should visit the home-page of the portal https://www.bharat-electronictender.com, and go to the User-Guidance Center

The help information provided through 'ETS User-Guidance Center' is available in three categories – Users intending to Register/ First-Time Users, Logged-in users of Buyer organizations, and Logged-in users of Supplier organizations. Various links (including links for User Manuals) are provided under each of the three categories.

Important Note: It is strongly recommended that all authorized users of Supplier organizations should thoroughly peruse the information provided under the relevant links and take appropriate action. This will prevent hiccups and minimize teething problems during the use of ETS.

SIX CRITICAL DO'S AND DON'TS FOR BIDDERS

Specifically, for Supplier organizations, the following 'SIX KEY INSTRUCTIONS for BIDDERS' must be assiduously adhered to:

1. Obtain individual Digital Signing Certificate (DSC or DC) of Class-III, well in advance of your first tender submission deadline on ETS

2. Register your organization on ETS well in advance of the important deadlines for your first tender on ETS viz 'Date and Time of Closure of Procurement of Tender Documents' and 'Last Date and Time of Receipt of Bids'. Please note that even after acceptance of your registration by the Service Provider, to respond to a tender you will also require time to complete activities related to your organization, such as creation of -- Marketing Authority (MA) [ie a department within the Supplier/ Bidder Organization responsible for responding to tenders], users for one or more such MAs, assigning roles to them, etc. It is mandatory to create at least one MA. This unique feature of creating an MA enhances security and accountability within the Supplier/ Bidder Organization.

3. Get your organization's concerned executives trained on ETS well in advance of your first tender submission deadline on ETS

4. For responding to any particular tender, the tender (i.e. its Tender Search Code or TSC) has to be assigned to an MA. Further, an 'Official Copy of Tender Documents' should be procured/ downloaded before the expiry of Date and Time of Closure of Procurement of Tender Documents.

Note: Official copy of Tender Documents is distinct from downloading 'Free Copy of Tender Documents'. Official copy of Tender Documents is the equivalent of procuring physical copy of Tender Documents with official receipt in the paper-based manual tendering system.

5. Submit your bids well in advance of tender submission deadline on ETS (There could be last minute problems due to internet timeout, breakdown, et al)

Note: Bid-submission in ETS can consist of submission of multiple bid-components, which vary depending upon the situation and requirements of the Buyer. Successful receipt of a bid in an e-tendering scenario takes place if all the required bid-components are successfully 'received and validated' in the system (ETS) within the scheduled date and time of closure of bidding (On some ETS screens, this is also referred to as 'Last Date and Time of Receipt of Bids'). ETS/ Service Provider is not responsible for what happens at an end-user's end, or while a submission made by an end-user is in transit, until the submission is successfully 'received and validated' in ETS. When a bid-component receipt and validation is successful, it is recorded in the ETS Audit Trail Report, which is generated by ETS. In case of any uncertainty, the application audit trail generated by ETS (ETS Audit Trail Report) shall be the final record/evidence for reference regarding the 'successful bid receipt'.

6. ETS will make your bid available for opening during the Online Tender Opening Event (TOE) 'ONLY IF' your 'Status pertaining Overall Bid-Submission' is 'Complete'. For your record, you can generate and save a copy of 'Final Submission Receipt'. This receipt can be generated from 'Bid-Submission Overview Page' only if the 'Status pertaining overall Bid-Submission' is 'Complete'.

NOTE:

While the first three instructions mentioned above are especially relevant to first-time users of ETS, the fourth, fifth, and sixth instructions are relevant at all times.

Minimum Requirements at Bidder's End

Computer System having configuration with minimum Windows 7 or above, and Broadband connectivity
Microsoft Edge with Internet Explorer mode
Digital Certificate(s)

Bidders Training Program

One day online training (10:00 to 17:00) is provided by ISN-ETS. Training is optional.
In case, any bidder is interested, he may send a request to support@isn-ets.com
Bidders are requested to arrange their own Laptop, Digital Certificate and Wireless Connectivity to Internet.

Bidder Training Charges (Per Participant)	Rs. 5,000/- (plus GST @ 18.00 %)

Annexure-B

The Audit parameters are attached separately along with this RfE.

Annexure-C

NON-DISCLOSURE AGREEMENT

(Between empanelled Auditor & SECI)

THIS NON-DISCLOSURE AGREEMENT is made on this …….. day (date) of …… (Year)

By and between

Solar Energy Corporation of India Limited (CIN-_____), a Company incorporated under the Companies Act 2013, having its registered office at 6th Floor, Plate-B, NBCC Office Block Tower-2, East Kidwai Nagar, New Delhi-110023 (hereinafter referred to as "SECI" or "CERT-RE" which expression shall, unless repugnant to the context or meaning thereof, be deemed to include its successors and assignees) as a Party of the first part.

And

…….. (having its registered/corporate office at ……(herein referred to as "Auditor" which expression shall unless repugnant to the context or meaning thereof ,includes its successors, assigns, administrators, liquidators and receivers) of the second part.

WHEREAS

A. Auditor is a services organization empanelled by SECI vide Letter of Empanelment no……dated……, will be responsible for evaluating, verifying, and enhancing the cybersecurity posture of renewable energy power plants across India, focusing on both Information Technology (IT) and Operational Technology (OT) ecosystems for which the Audit assignments have been assigned by SECI subsequently to the Auditors.

B. Auditor as an empanelled Auditing organization has agreed to fully comply the with Terms & conditions of empanelment under the RfE issued vide RfE no. ……..dated…….. and Policy guidelines for handling audit related data" while conducting audits.

C. Both Auditor and SECI have given their irrevocable consent to fully comply the aforesaid Guidelines and any amendments thereof without any reservations.

NOW, THEREFORE, in consideration of the foregoing and the covenants and agreements contained herein, the parties agree as follows:

1. **Definitions.**:

(a) The term "Confidential Information" shall include, without limitation, all information and materials, furnished by either Party to the other in connection with Auditee products and services including information transmitted in writing, orally, visually, (e.g. video terminal display) or on magnetic media, and including all proprietary information, customer & prospect lists, trade secrets, trade names or proposed trade names, methods and procedures of operation, business or marketing plans, licensed document know-how, ideas, concepts, designs, drawings,

flow charts, diagrams, quality manuals, checklists, guidelines, processes, formulae, source code materials, specifications, programs, software packages, codes and other intellectual property relating to Auditee products and services. Results of any information security audits, tests, analysis, extracts or usages carried out by the Auditor in connection with the Auditee's products and/or services, IT infrastructure, etc. shall also be considered Confidential Information.

(b) The term "Auditee products" shall include all such products, goods, services, deliverables, which are subject to audit by the empanelled auditor under the Agreement.

(c) The term "Auditee" shall mean the Renewable Power Developer whose plant is being audited by the Auditor and for which the Work order has been issued by SECI subsequent to the empanelment.

2 Protection of Confidential Information. With respect to any Confidential Information disclosed to it or to which it has access, Auditor affirms that it shall:

(a) Use the Confidential Information as necessary only in connection with scope of audit and in accordance with the terms and conditions contained herein;

(b) Maintain the Confidential Information in strict confidence and take all reasonable steps to enforce the confidentiality obligations imposed hereunder, but in no event take less care with the Confidential Information that the parties take to protect the confidentiality of its own proprietary and confidential information and that of its other clients;

(c) Not to make or retain copy of any details of products and/or services, prototypes, business or marketing plans, Client lists, Proposals developed by or originating from Auditee or any of the prospective clients of Auditee.

(d) Not to make or retain copy of any details of results of any information security audits, tests, analysis, extracts or usages carried out by the Auditor in connection with the Auditee's products and/or services, IT infrastructure, etc. without the express written consent of Auditee.

(e) Not disclose or in any way assist or permit the disclosure of any Confidential Information to any other person or entity without the express written consent of the auditee; and

(f) Return to the auditee, or destroy, at auditee's discretion, any and all Confidential Information disclosed in a printed form or other permanent record, or in any other tangible form (including without limitation, all copies, notes, extracts, analyses, studies, summaries, records and reproductions thereof) immediately on (i) expiration or termination of this agreement, or (ii) the request of Auditee therefor.

(g) Not to send Auditee's audit information or data and/or any such Confidential Information at any time outside India for the purpose of storage, processing, analysis or handling without the express written consent of the Auditee.

(h) The auditor shall use only the best possible secure methodology to avoid confidentiality breach, while handling audit related data for the purpose of storage, processing, transit or analysis including sharing of information with auditee.

(i) Not to engage or appoint any non-resident/foreigner to undertake any activity related to

Information Security Audit. In case of information security audits for Government/ critical sector organization, only the man power declared to CERT-In shall be deployed to carry out such audit related activities.

(j) Not to discuss with any member of public, media, press, any or any other person about the nature of arrangement entered between the Auditor and the Auditee or the nature of services to be provided by Auditor to the Auditee.

(k) Make sure that all the employees and/or consultants engaged to undertake any audit on its behalf have signed the mandatory non-disclosure agreement.

3. **Onus.** Auditor shall have the burden of proving that any disclosure or use inconsistent with the terms and conditions hereof falls within any of the foregoing exceptions.

4. **Permitted disclosure of audit related information**:

The auditor may share audit information with CERT-RE or similar Government entities mandated under the law as and when called upon to do so by such agencies with prior written information to the auditee.

5. **Exceptions.** The Confidentiality obligations as enumerated in Article 2 of this Agreement shall not apply in following cases:

(a) Which is independently developed by Auditor or lawfully received from another source free of restriction and without breach of this Agreement; or

(b) After it has become generally available to the public without breach of this Agreement by Auditor; or

(c) Which at the time of disclosure to Auditor was known to such party free of restriction and evidenced by documents in the possession of such party; or

(d) Which Auditee agrees in writing is free of such restrictions.

(e) Which is received from a third party not subject to the obligation of confidentiality with respect to such Information;

6. **Remedies.** Auditor acknowledges that any actual or threatened disclosure or use of the Confidential Information by Auditor would be a breach of this agreement and may cause immediate and irreparable harm to Auditee or to its clients; Auditor affirms that damages from such disclosure or use by it may be impossible to measure accurately; and injury sustained by Auditee / its clients may be impossible to calculate and compensate fully. Therefore, Auditor acknowledges that in the event of such a breach, Auditee shall be entitled to specific performance by Auditor of its obligations contained in this Agreement. In addition Auditor shall compensate the Auditee for the loss or damages caused to the auditee actual and liquidated damages which may be demanded by Auditee. Liquidated damages not to exceed the Contract value. Moreover, Auditee shall be entitled to recover all costs of litigation including reasonable attorneys' fees which it or they may incur in connection with defending its interests and enforcement of contractual rights arising due to a breach of this agreement by Auditor. All rights and remedies hereunder are cumulative and in addition to any other rights or remedies

under any applicable law, at equity, or under this Agreement, subject only to any limitations stated herein.

7. **Need to Know**. Auditor shall restrict disclosure of such Confidential Information to its employees and/or consultants with a need to know (and advise such employees and/or consultants of the obligations assumed herein), shall use the Confidential Information only for the purposes set forth in the Agreement, and shall not disclose such Confidential Information to any affiliates, subsidiaries, associates and/or third party without prior written approval of the Auditee. No information relating to auditee shall be hosted or taken outside the country in any circumstances.

8. Intellectual Property Rights Protection. No license to a party, under any trademark, patent, copyright, design right, mask work protection right, or any other intellectual property right is either granted or implied by the conveying of Confidential Information to such party.

9. **No Conflict**. The parties represent and warrant that the performance of its obligations hereunder do not and shall not conflict with any other agreement or obligation of the respective parties to which they are a party or by which the respective parties are bound.

10. **Authority.** The parties represent and warrant that they have all necessary authority and power to enter into this Agreement and perform their obligations hereunder.

11. **Governing Law.** This Agreement shall be interpreted in accordance with and governed by the substantive and procedural laws of India and the parties hereby consent to the jurisdiction of Courts situated at New Delhi.

12. **Entire Agreement.** This Agreement constitutes the entire understanding and agreement between the parties, and supersedes all previous or contemporaneous agreement or communications, both oral and written, representations and under standings among the parties with respect to the subject matter hereof.

13. **Amendments.** No amendment, modification and/or discharge of this Agreement shall be valid or binding on the parties unless made in writing and signed on behalf of each of the parties by their respective duly authorized officers or representatives.

14. **Binding Agreement.** This Agreement shall be binding upon and inure to the benefit of the parties hereto and their respective successors and permitted assigns.

15. **Severability**. It is the intent of the parties that in case any one or more of the provisions contained in this Agreement shall be held to be invalid or unenforceable in any respect, such provision shall be modified to the extent necessary to render it, as modified, valid and enforceable under applicable laws, and such invalidity or unenforceability shall not affect the other provisions of this Agreement.

16. **Waiver.** Waiver by either party of a breach of any provision of this Agreement, shall not be deemed to be waiver of any preceding or succeeding breach of the same or any other provision hereof.

17. **Survival.** Both parties agree that all of their obligations undertaken herein with respect to

Confidential Information received pursuant to this Agreement shall survive till perpetuity even after expiration or termination of this Agreement.

18. **Non-solicitation.** During the term of this Agreement and thereafter for a further period of two (2) years Auditor shall not solicit or attempt to solicit Auditee's employees and/or consultants, for the purpose of hiring/contract or to proceed to conduct business similar to Auditee with any employee and/or consultant of the Auditee who has knowledge of the Confidential Information, without the prior written consent of Auditee.

19. This Agreement is governed by and shall be construed in accordance with the laws of India. In the event of dispute arises between the parties in connection with the validity, interpretation, implementation or alleged breach of any provision of this Agreement, the parties shall attempt to resolve the dispute in good faith by senior level negotiations. In case, any such difference or dispute is not amicably resolved within forty five (45) days of such referral for negotiations, it shall be resolved through arbitration process, wherein both the parties will appoint one arbitrator each and the third one will be appointed by the two arbitrators in accordance with the Arbitration and Conciliation Act, 1996. The venue of arbitration in India shall be (please choose the venue of dispute resolution as the city) or where the services are provided. The proceedings of arbitration shall be conducted in English language and the arbitration award shall be substantiated in writing and binding on the parties. The arbitration proceedings shall be completed within a period of one hundred and eighty (180) days from the date of reference of the dispute to arbitration.

20. **Term.** This Agreement shall come into force on the date of its signing by both the parties and shall be valid up to the empanelment of Auditor as per the Letter of Empanelment issued by SECI.

IN WITNESS HEREOF, and intending to be legally bound, the parties have executed this Agreement to make it effective from the date and year first written above.

FOR & ON BEHALF OF SECI	FOR & ON BEHALF OF AUDITOR
Signature: Name: Designation:	Signature: Name: Designation:
WITNESSES	WITNESSES
1) Signature:	1) Signature:
Name:	Name:
Designation:	Designation:
2) Signature:	2) Signature:

Name:	Name:
Designation:	Designation:

Annexure-D

	Solar Energy Corporation of India Limited A Navratna Government of India Enterprise Ministry of New and Renewable Energy Sectoral Computer Emergency Response Team for the Renewable Energy Sector (CERT-RE), New Delhi

PROJECT EXECUTION FORM (PEF)	PEF No.:	Date: / /

Requisition for Mandatory Cyber Security Audit of a Renewable Energy Plant

(A) Developer Details					
1	Name of the Renewable Power Developer / SPV				
2	CIN / LLPIN			GSTIN:	
3	Complete Registered Address				
4	Contact Person	Name:		Designation:	
		Email:		Phone/Mobile:	
(B) Plant Details					
1	Name of the Plant / Project				
2	Location of Plant (village, tehsil, district)				
3	State / UT and Geographic Zone	State:		Zone (1 to 5):	
4	Geo-coordinates (latitude, longitude)				
5	Technology Type (Solar PV / Wind / Hybrid / BESS / RE with co-located BESS)				
6	Installed Capacity	AC (MW):	DC (MWp):	BESS (MW/MWh):	Blocks / WTGs:
7	Date of Commercial Operation / expected date	COD:		Manning: manned / partly / unmanned	
8	Grid Connectivity	ISTS / InSTS:		Substation and voltage (kV):	
9	SLDC / RLDC /	Entity:		Protocol:	

	REMC interface		
10	Declared a Protected System under Section 70 of the IT Act, 2000? (Yes / No)		
11	Operation and Maintenance	Self / third party:	Name of O&M contractor:

(B-1) Consolidated Plant Details (for Multiple Plants)

Sr. No.	Plant Name	Location & State / Zone	Technology & Capacity	COD / Manning	Grid / SLDC-RLDC-REMC
1					
2					
3					
4					
5					
6					
7					
8					

Note: If the number of plants exceeds the rows provided above, use an additional sheet in the same format.

(C) Audit Trigger and Audit History

1	Type of Audit requisitioned	First Time Charge (FTC)	Periodic Mandatory Audit	
2	In case of FTC	Scheduled synchronisation date:	PEF submission date:	
3	In case of Periodic Audit	Audit cycle no.:	Due date (CEA Regulations, 2024):	
4	Details of the preceding audit	Name of auditing organisation:	Closure date:	Consecutive audits by same auditor:
5	Open non-conformities from preceding audit	Critical / High:	Medium / Low:	
6	Any cyber security incident reported to CERT-In / CERT-RE / CSIRT-Power in the preceding twelve months (Yes / No — reference and date)			

7	Preferred audit window (from / to)		
(D) Cyber Security Governance and Documentation Readiness			
1	Chief Information Security Officer (CISO)	Name:	Designation:
		Email:	Phone/Mobile:
2	Information Security Division	Constituted (Yes / No):	Date of constitution:
3	Cyber Security Policy	Approved (Yes / No):	Version and date:
4	ISO/IEC 27001 certification	Certificate no.:	Validity:
5	Availability of records	Asset register / CMDB (Yes / No):	Network architecture diagram (Yes / No):
6	Supply chain declarations	Trusted-source / MoP lab certificates (Yes / No / Partial):	SBOM available (Yes / No / Partial):
(E) Asset Summary			
Type-wise asset counts shall be furnished in Annexure-I and Annexure-II. Enter totals only in this Part.			
1	IT Assets — Annexure-I	Total count:	Assets to be audited (payable):
2	OT / ICS Assets — Annexure-II	Total count:	Assets to be audited (payable):
3	**GRAND TOTAL**		
(F) Network, Connectivity and Remote Access			
1	IT / OT segregation (air-gapped / firewalled with DMZ / firewalled without DMZ / flat)		
2	Is standing OEM / vendor remote access enabled for any OT asset? (Yes / No — list assets and OEMs)		
(G) Site Logistics and Access			
1	Nearest transit points	Airport and distance (km):	Railway station and distance (km):
2	Site SPOC	Name:	Designation:

		Email:		Phone/Mobile:	
3	IT SPOC	Name:		Designation:	
		Email:		Phone/Mobile:	
4	OT SPOC	Name:		Designation:	
		Email:		Phone/Mobile:	
(H) Details of Advance Payment to SECI					
1	Advance remitted to SECI	Amount:	Mode (NEFT/RTGS/DD):	UTR / instrument no.:	Date:
2	Remitting bank and branch				

UNDERTAKING

We certify that the particulars furnished herein, including the asset counts at Annexure-I and Annexure-II, are true, complete and correct as on the date of submission. We understand that these counts form the basis on which the audit effort is scoped and the audit fee is discovered, and that any material understatement shall entitle SECI to revise the fee, to require the assignment to be re-scoped, or to report the matter to the Central Electricity Authority. We undertake to furnish all governance documents, architecture diagrams, asset registers, configuration data, logs and access required for the audit; to grant timely site access and escorted access to control rooms, server rooms, inverter stations and substation premises; to ensure the availability of the officers named at Parts D and G; not to require or permit the Auditor to conduct any active or intrusive testing on live process control networks without our prior written authorisation issued jointly by the Plant Head and the CISO; and to submit the Action Taken Report within the timeline. We accept that the audit is a point-in-time assessment and that a closure certificate does not constitute a warranty against future cyber-attacks, zero-day vulnerabilities or subsequent intrusions.

Certified by	**For and on behalf of the Renewable Power Developer**
Signature: Name: Designation: Chief Information Security Officer Date:	Signature and seal: Name: Designation: Authorised Signatory Date:

***** The PEF must be signed by the authorised signatory of the Developer and countersigned by the CISO.

***** All fields are mandatory. Where not applicable, enter "NA". An incomplete PEF shall be returned.

***** Use a separate sheet if items are more.

ANNEXURE-I: IT ASSETS

State the total number of each asset type deployed at all locations forming part of the audit scope.

S. No.	Asset type	Count	Sampling rate	To be audited (payable)	Make / OEM and remarks
1	Servers (physical and virtual)		0.50		
2	Desktops / Laptops (endpoints)		0.25		
3	Firewalls (IT)		1.00		
4	IDS / IPS appliances		1.00		
5	Routers		0.50		
6	Switches		0.50		
7	Wireless Access Points / Controllers		0.25		
8	CCTV / IP cameras and NVR		0.25		
9	Networked printers / MFPs		0.25		
10	Public-facing IP addresses		1.00		
11	Web / ERP applications (for PT)		1.00		
12	Mobile applications (for PT)		1.00		
13	APIs (for PT)		1.00		
	Sub-total — IT assets				

ANNEXURE-II: OT / ICS ASSETS

S. No.	Asset type	Count	Sampling rate	To be audited	Make / OEM and remarks
1	SCADA / EMS / DCS servers		1.00		
2	HMI stations		0.25		
3	Engineering workstations (EWS)		0.25		
4	Historian / Data-logger servers		1.00		
5	Power Plant Controllers (PPC)		1.00		
6	PLCs		0.50		
7	RTUs		0.50		
8	Protection relays / IEDs		0.50		
9	Bay controllers		0.50		
10	Substation gateways / IEC 61850 devices		0.50		
11	GPS / Time-sync (PTP / NTP) devices		0.25		
12	ABT / Special Energy Meters		0.25		
13	String inverters		0.10		
14	Central inverters		0.10		
15	Smart combiner boxes (monitored)		0.10		
16	Tracker controllers		0.10		
17	Weather / Pyranometer stations (networked)		0.10		
18	WTG controllers		0.10		
19	Condition-monitoring systems		0.10		
20	Met masts (networked)		0.10		
21	Battery Management Systems (BMS)		0.10		
22	Power Conversion Systems (PCS)		0.10		
23	Battery EMS units		0.10		

24	OT firewalls / data diodes / unidirectional gateways		1.00		
25	Jump servers / bastion hosts (OT)		0.50		
	Sub-total — OT / ICS assets				
	GRAND TOTAL — IT and OT / ICS				

Sampling methodology applied by SECI: 100% for unique and high-consequence assets (SCADA and EMS servers, firewalls, historians, public IP addresses, and all applications and APIs subjected to penetration testing); 50% for role-varied infrastructure (servers, routers, switches, PLCs, RTUs, relays, bay controllers); 25% for endpoints and semi-identical devices (desktops, HMIs, engineering workstations, cameras, meters); 10% for factory-identical field fleets (inverters, tracker controllers, WTG controllers, BMS and PCS units) — in every case subject to a minimum of two units per asset type and capped at the declared count. Payable assets per row = ROUNDUP (Count × Sampling rate).

FOR SECI USE ONLY

1	PEF received on (date)	
2	PEF reference number allotted	
3	Completeness check — complete / returned for rectification	
4	Advance payment realisation confirmed on (date)	
5	Total payable assets computed (IT / OT / total)	
6	Geographic Zone confirmed	
7	Auditors excluded on rotation or conflict-of-interest grounds	
8	RFQ issued on (date) and RFQ reference	
9	Verified by (name, designation, signature)	